

University of Illinois
Urbana-Champaign Senate
Committee of the Library
(Final; Action)

LB.11.01 Resolution: Open Access to Scholarly Articles

This resolution is a successor to LB.07.01, Recommendations to the Senate on CIC Provosts' Statement on Publication Agreements. The earlier resolution encouraged participation in Open Access publishing and encouraged the Provost to provide leadership in this direction. The current resolution seeks to make deposit of manuscripts, just short of final typeset layout, in the IDEALS archive the common practice for scholarly work performed on this campus. It does not mandate deposit, as this would potentially infringe on faculty control of copyright, but seeks the voluntary deposit of scholarly work, in recognition of the common good. It neither seeks nor supports deposit of work where copyright or economic considerations would make deposit inappropriate. Annual review and reporting by the Senate Committee on the Library of the effectiveness and impact of the resolution is mandated.

In April, 2010, the Senate Committee on the Library at the University of Minnesota submitted a resolution to its Senate, advocating Open Access publishing for all scholarly publications originating there. Harvard, MIT, and the University of Kansas have all taken supportive stances on Open Access, and have established open access repositories for their institutional scholarly works. It is time that the University of Illinois at Urbana-Champaign (ILLINOIS) responds to the opportunities and issues emanating from modern publishing practice, technology, and scholarly process and adopt and enable procedures to encourage Open Access for all ILLINOIS scholarly publications.

By agreeing to deposit the final manuscript version of their scholarly work in the ILLINOIS' IDEALS Repository, ILLINOIS faculty, students and staff can ensure that scholarship from the University of Illinois at Urbana-Champaign is available to anyone, world-wide. In many parts of the world, the open web is the only widely accessible library, and thus this action will further confirm ILLINOIS' role as a center for international scholarship. Further the Open Access proposal would also make available to ILLINOIS faculty, students and staff the scholarly publications of colleagues at ILLINOIS who may now have their scholarly works embargoed for a specific term after publication or in some cases are available only by purchase from the publisher. There will be exceptions on an individual basis to having everyone deposit scholarly publications in the IDEALS program, as some scholarship for economic or other reasons may need to be exempted for the Open Access Repository. Based on the resolutions passed by other institutions, the Senate Committee on the Library proposes the following resolution:

Whereas: A central aspect of scholarship is membership in the world-wide community of scholars, and

Whereas: The potential audience for a particular scholarly artifact is unknowable at the time of original dissemination, and

Whereas: Illinois scholars have an unquenchable thirst for knowledge of what the rest of the world-wide scholarly community has created, and

Whereas: Our ability to pay for the vast amount of scholarly work generated in the rest of the world is limited, and

Whereas: The ability to pay for subscriptions to, and purchases of, the products of academic scholarship is poorly correlated with the utility an audience may find for the results of our work, and

Whereas: Technology allows for the storing, indexing, disseminating, and accessing of the results of scholarly work at little marginal cost, so that much of the world's scholarship is now being posted where it may be found on the open web, and

Whereas: Several peer institutions, including the Faculty of Arts and Sciences at Harvard University, the Massachusetts Institute of Technology, the University of Kansas, and the University of Minnesota, have adopted policies urging or requiring (with opt-out provisions) the posting of scholarly articles and manuscripts in their institutional repositories, generally in the form of final author's manuscript version prior to publication, though not necessarily in final publication layout, and

Whereas: ILLINOIS' institutional repository for scholarly works is IDEALS, which is managed through the Library, and

Whereas: To further the open access objectives herein, help brand ILLINOIS as a scholarly center, and facilitate distributing scholarly articles,

Be it resolved that open access to scholarly publications shall achieved be as follows:

Each ILLINOIS Faculty member should deposit into the IDEALS open access repository an electronic copy of their final version of the article at no charge and in appropriate formats. Such deposit allows ILLINOIS nonexclusive permission to reproduce, maintain, and distribute their scholarly articles for the purpose of open dissemination. IDEALS also provides an option for embargoing scholarly articles. For more information, see IDEALS' website at www.ideals.illinois.edu. This deposit and permission does not change who owns the copyright.

The resolution applies to all scholarly articles written while the person is a member of the ILLINOIS Faculty, except for any articles completed before the adoption of this resolution and any articles for which the Faculty member enters into an incompatible licensing or assignment agreement.

The ILLINOIS Chancellor or Chancellor's designate will waive application of the resolution for a particular article upon written notification by the author, who informs the University of the reason.

The ILLINOIS Librarian will develop policies coordinating open access mandates for Federal agencies (e.g., NIH) to save the Faculty further effort. For example, automated copying of ILLINOIS-authored articles posted, open access, in PubMed Central may put some Faculty

members in compliance with this resolution, although simultaneous deposit in IDEALS is strongly encouraged.

The ILLINOIS Librarian, in consultation with the ILLINOIS Senate Committee on the Library, will be responsible for interpreting this resolution, resolving disputes concerning its interpretation and application, and recommending changes to the ILLINOIS Academic Senate. The resolution will take effect 30 days following posting of the approved version of this resolution on the Academic Senate website. As part of the Senate Committee on the Library's annual report, the Senate will be informed of open access usage, both in the volume of postings, the volume of off-campus access, and any resolution issues that may arise.

As technology and publishing practice continue to evolve, it is presumed that this resolution will be modified as needed.

Senate Committee on the Library

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