**SP.19.01** October 14, 2019

#### UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN SENATE

COMMITTEE ON UNIVERSITY STATUTES AND SENATE PROCEDURES (Final; Action)

SP.19.01 Proposed Revisions to the Statutes (USC ST-77)

#### BACKGROUND

The University Senates Conference (USC) has been at work for some time to fully review and revise the University of Illinois *Statutes* and *General Rules*, the main policy documents that govern our system. USC has transmitted the first packet (ST-77) of these revisions of the *Statutes* to the Senates for our review. Each packet will include proposed revisions across the *Statutes* and will be grouped so that less substantive changes are considered first, followed by more substantial revisions later. USC wrote in its letter of September 4, 2018:

ST-77 features a proposed comprehensive revision of the *Statutes* to reflect a change in the current conception of the relationship among the three universities that comprise the University of Illinois System, and of the relationship of each of these universities to the system administration. The proposed revisions aim to reflect the unity of the "University of Illinois System" while also recognizing that the three Universities of Illinois (Chicago, Springfield, and Urbana-Champaign) are where the chief academic and creative work of the institution is accomplished. This conceptualization contrasts with earlier views of the institution as a single university that simply featured three different locations. This shift in conceptualization is reflected in the reservation of the term "university" to refer to each of the three separate universities, while "University of Illinois System" is the term used to refer to the three universities in the aggregate, along with the system administration.

The University of Illinois System (or "the system") is the single totality of which we are all members, across the universities. People have sometimes used the unmodified term "system" to refer to the system-level administrators or offices that support the missions of the three universities (for example, "the system decided. . ." or "this proposal needs to be reviewed by the system . . ."). The proposed revisions refer more precisely to "system administrators" and "system offices." This is an important change in usage.

These changes are explained in a new Preamble that helps to define these key terms. The changes were not quite as simple as globally replacing "University" with "system" and "campus" with "university." This packet also includes other proposed changes that were considered relatively straightforward by the drafting committee, which included members of the University Senates Conference Statutes and Governance Committee, Executive Vice-President Barbara Wilson, and representatives of University Counsel.

There are proposed changes to nearly every portion of the *Statutes*; therefore, University Statutes and Senate Procedures (SP) has found it difficult to briefly categorize these changes while also giving a sense of their relative impact. As described by USC, the most global change is the shift from the current use of the terms "university" and "campus" in the *Statutes* to "system" and "university" throughout. The logic and implementation of these terms is explained in a proposed new Preamble. SP finds that this new Preamble explains this logic clearly, containing material necessary for the reading of the remainder of the *Statutes*, as well as additional policy documents, such as the *General Rules*, which will adopt the "system"/"university" language.

In its current form, the Preamble of the *Statutes* establishes the basis of authority and governance of the University of Illinois. It does so by first articulating ultimate authority with the Illinois General Assembly through lawmaking and appropriations and establishing the delegation of that authority to the Board of Trustees. The existing Preamble further states that the Board of Trustees places delegated authority with the University President. Further, the Preamble establishes the concept of shared governance by explicitly stating that matters of educational policy, organization and governance are acted upon by the University President, relying on the advice of the University Senates. Lastly, the current Preamble describes the subordinate role of the *General Rules* as well as the University's business and financial policies and procedures.

The proposed revisions in ST-77 to the Preamble are all a result of the change to the University System architecture. As such, changes are made to incorporate the new use of the University System terminology, elevating each "campus" to a "university", and laying out specific cases as to how "System" is to be used consistently throughout the *Statutes* and other subordinate governing documents. Additionally, the role of the University President is more explicitly described and placed at the leadership head of the University System. Key principles that exist in the current Preamble, however, were proposed to be excised in ST-77, such as (1) a declaration of the hierarchical relationships that exist among the *Statutes, Bylaws*, and *General Rules*; and (2) an affirmative statement of commitment to the principles of shared governance. To retain the best features of the current Preamble and those proposed in ST-77, SP has prepared a substitute Preamble that synthesizes both texts.

A broad overview of noteworthy changes follows:

- Beyond the general revision to replace uses of "university"/"campus" with "system"/"university," there are a number of additional proposed changes which deserve some attention. SP would like to highlight the following changes, and recommends their approval:
  - A. Article II, Section 2: the title of the University Senates Conference has not changed, though the use of "university" **will change meaning slightly** via these revisions.
  - B. Article III, Section 1.g: the phrase "with the concurrence of" has been **struck** from the passage regarding the annual appointment of vice chancellors. What remains indicates that vice chancellors shall be appointed annually by the Board on the recommendation of the chancellor/vice president and the president. In Article III, Section 5.b, "with the concurrence of" has been **inserted** into the approval process for deans or directors of schools or similar units, establishing that the recommendation of the chancellor/vice president requires the concurrence of the president.
  - C. Article IX, Section 4.c: new phrasing **delegates** the establishment of minimum salaries for "the various ranks" to the chancellor/vice president of each university.
- 2. Further, SP recommends a few changes in addition to those proposed by USC (this are highlighted in the proposed text):
  - A. Article IV, Section 2.a: while revised, the proposed language retains a passive construction that makes the process of evaluating department chairs unclear. The proposed sentence reads "the performance of the chair shall be evaluated at least once every five years." SP **recommends the addition** of the following phrase: "in a manner to be determined by the faculty." A similar lack of clarity exists in Article IV, Section 3.a, regarding the review of department heads. SP **recommends** the same addition.
  - B. Article IX, Section 1: two uses of "system" seem warranted in this paragraph and **should be included**.
  - C. Article IX, Section 2: the single word "knowingly" has both been added and then struck by USC, which if included, would modify "participate in institutional decisions involving a direct benefit [...] to a member of the [employee]'s immediate family." Without an additional rationale, SP **recommends retaining** the word "knowingly" as a modifier of the phrasing that follows.

- D. Article IX, Section 3.a: the phrase "appointments, reappointments, and promotions of system-level administrative staff" **should include** "academic or" before "administrative."
- E. Article IX, Section 11.a: this sentence has been expanded. SP **recommends** breaking the revised sentence into two, with the first sentence ending "...is located" and the second reading "If policies differ at each university, the system-level human resources office shall adopt one policy..."
- F. Article IX, Section 11.b.5: the shift to "system" language changes the meaning and reference here. SP **recommends** that the phrasing read "on the basis of continuous employment in university academic administrative and professional positions..." **and** that the passage close with "relevant experience in any other University of Illinois System positions."
- G. Article X, Section 1.a: the shift to "system" language here does not work. SP recommends that the end of the first paragraph read "a candidate for a degree from a university in the University of Illinois System."
- H. Article X, Section 1.a.7: the addition of "and are" changes a serial list after "or" to a different, more stringent list; SP **recommends** not inserting "and are."
- I. Article X, Section 1.e.8: the phrase "of the Faculty Advisory Committee of the relevant university" has been added to indicate that that body should receive notice of charges of certain forms of reassignment of duties. SP **recommends** the modifier "appropriate" and that the subsequent phrase "or in the absence of the chair" be set off by commas.
- J. Article XII, Section 2.b: a new phrase has been inserted that reads "or by special arrangement approved by the system chief financial officer or designate." The last word **should be** "designee."
- K. Article XII, Section 3, paragraph 2: this passage addresses patents on inventions. SP finds that commas and the word "and" should be added to clarify the passage and recommends this paragraph read: "An inventor, whose discovery or invention is subject to the conditions of the previous paragraph, is required to disclose the discovery or invention to the system and may be required to patent the discovery or invention, and shall execute any documents necessary to perfect the assignment of such a patent to the system, the expenses therewith borne by the system."

- 3. In addition, in 2015, the Urbana-Champaign Senate voted to send a set of proposed *Statutes* changes, collated in SP.15.18, to USC and the Board of Trustees. Some of those changes appear in ST-77 in full or with some modifications:
  - A. The Senate recommended the inclusion of "sex," "genetic information," and "political affiliation" in the list of protected categories contained in the Nondiscrimination Statement. ST-77 includes "sex" and "genetic information," along with "order of protection" and "pregnancy," but **does not include** "political affiliation." Article IX, Section 1, which covers the criteria for employment and promotion for academic and administrative staffs continue to include "political affiliation" as protected.
  - B. The Senate recommended modifying Article II, Section 2 to recognize USC's bylaws and practices. These changes are integrated into ST-77 with minor changes in phrasing, though ST-77 strikes one sentence at the end of Article II, Section 2.a.2 (concurring with our Senate) without including this change.
  - C. The Senate recommended adding a sentence to Article VIII, Section 4: "A reorganization of a department from a chair to a head, or from a head to a chair, may be accomplished only as specified in Article IV, Section 4 of these *Statutes*." ST-77 includes this change as "A change in departmental organization from a chair to a head, or from a head to a chair, may be accomplished only as specified in Article IV, Section 4 of these *Statutes*." ST-77 includes this change as "A change in departmental organization from a chair to a head, or from a head to a chair, may be accomplished only as specified in Article IV, Section 4 of these *Statutes*."
  - D. The Senate recommended changing "basis" to "bases" in Article IX, Section 3.b. ST-77 **retains this change**.
  - E. The Senate recommended clarifying phrasing in Article XII, Section 2.b to read "In the case of tax funds." ST-77 retains this change.
- 4. Finally, SP has identified a few issues that remain to be resolved, and recommends they continue to be examined for potential revision:
  - A. Article VII, Section 5.b: the addition of "of Illinois" here seems to change the meaning to the University of Illinois system, which most likely means this phrasing no longer accords with the previous references to the Smith-Lever Act and a joint resolution of the Illinois General Assembly. SP recommends this to be examined and corrected to "the University of Illinois at Urbana-Champaign" or "the University of Illinois system," whichever is correct.
  - B. Article IX, Section 3.c: titles and modifiers are presented in these paragraphs, which now **includes** "teaching," which can modify various "professor" ranks. These

paragraphs, however, are becoming hard to parse due to the variety of terms and restrictions on use. In addition, the relationship of Article IX, Section 3.c, paragraph 2 and Article IX, Section 11, which covers academic professional staff, is unclear.

- C. Article IX, Section 7: this section's title has been modified to "Sabbatical Leaves and Unpaid Leaves of Absence for Members of the Faculty." SP **is unclear** if this captures all forms of leave that might be permissible.
- D. Article X, Section 1.a.5-7: these paragraphs deal with different appointment lengths and notification rights, in their relation to a variety of titles. The changes here include some clarification of in-use titles, but these paragraphs are very hard to parse in sequence. SP **recommends** that they be clarified, beginning each with a sentence about its purpose.

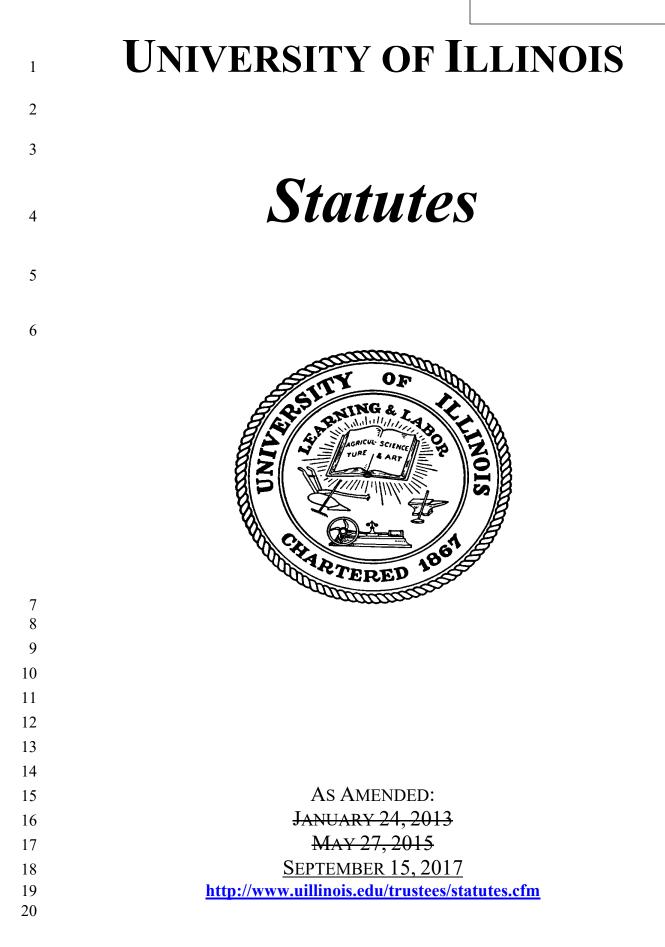
#### RECOMMENDATION

The Senate Committee on University Statutes and Senate Procedures recommends approval of the following revisions to the *Statutes*.

Text to be added is <u>underscored</u> and text to be deleted is <del>struck through</del>. Proposed language beyond that transmitted by USC as ST-77 is highlighted.

UNIVERSITY STATUTES AND SENATE PROCEDURES Shawn Gilmore, Chair H. George Friedman Matthew Goodman Michael Grossman Samantha Lenoch William Maher Jeffrey Stein Kelli Trei Brent West Jessica Mette, ex officio Sharon Reynolds, ex officio Jenny Roether, ex officio

USC ST-77 August 29, 2017



21	University of Illinois
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23	PREAMBLE
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25 26 27 28 29 30	The University of Illinois, as a state university <u>created by statutory edict of the Illinois legislature</u> , is subject to the control of the Illinois General Assembly. The General Assembly, subject to the limitations of the state constitution and to such self-imposed restraints as are essential to the maintenance of a free and distinguished University, exercises control by virtue of its authority to change the laws pertaining to the University and its power to appropriate funds for the maintenance and improvement of the University. Under existing state law the University of Illinois is a public corporation, the formal corporate name of
31	which is "The Board of Trustees of the University of Illinois." <u>Although there has been no formal, legal</u>
32	name change to the institution, as of May 20, 2016, the University of Illinois has adopted the
33	organizational structure and nomenclature of a system. The term "system" recognizes common
34	components among the universities as well as their organization under a single governing board.
35	Accordingly, the University of Illinois shall be referred to in this document and other governance and
36	administrative documents as either the "University of Illinois System," the "U of I System," or the
37	"system." The University of Illinois System includes the University of Illinois at Urbana-Champaign; the
38	University of Illinois at Chicago and its affiliated regional campuses in Peoria, Rockford, and the Quad
39	Cities; and the University of Illinois at Springfield.
40	
41	Within the limits fixed by the Illinois constitution and laws, the Board of Trustees exercises final
42	authority over the University <u>System</u> . For the proper use of funds appropriated by the General Assembly
43	and for the proper administration and government of the University System, the board is responsible to the
44	people of Illinois, on whose behalf its members are appointed. The board is the governing body of the
45	University <u>System</u> and exercises jurisdiction in all matters except those for which it has delegated
46	authority to the president, other officers, or bodies of the University System.
47 49	The advantional valies, argumization and covernance of the University System of delegated by the
48 49	The educational policy, organization, and governance of the University <u>System</u> as delegated by the Board of Trustees are promulgated in these <i>Statutes</i> . When acting on such matters, the board relies upon
50	the advice of the university senates transmitted to it by the President of the University System, whose role
51	is to set policy for system-wide endeavors and provide leadership at the state, national, and international
52	levels for collective activities across the universities. In these matters each senate has a legitimate concern
53	which justifies its participation in the enactment and amendment of the <i>Statutes</i> . The Board of Trustees
54	reserves the power to initiate and make changes in the <i>Statutes</i> , but before making any change it will seek
55	the advice of the senates.
56	
57	The General Rules Concerning University Organization and Procedure document supplements
58	the Statutes. The General Rules are subordinate to the Statutes and deal with administrative organization,
59	with the powers, duties, and responsibilities of officers of the University System, and with various
60	administrative matters.
61	
62 63	The Board of Trustees delegates to the President of the University System the authority to promulgate regulations and rules implementing <i>The General Rules Concerning University Organization</i>
64	promulgate regulations and rules implementing Ing (sonoral Rules Concorning Lawordty Decanization

promulgate regulations and rules implementing *The General Rules Concerning University Organization and Procedure*. These are printed in the *Business and Financial Policies and Procedures* manual and
 other documents subordinate to the *Statutes* and *The General Rules* setting forth established policies and

- 66 procedures. Led by the President, The University of Illinois System also comprises central administrative
- 67 <u>functions such as: (a) overseeing common fiduciary and compliance responsibilities; (b) providing certain</u>

- 68 shared services across the universities; and (c) coordinating system-wide data collection and reporting.
- 69 The offices that provide services related to these activities are referred to as "system offices" and staff
- 70 within these offices are referred to as "system administration" or "system leaders."<sup>1</sup> The adjectival form
- 71 for references to such activities is "system-level." To avoid confusion, these offices are not referred to as
- 72 73
- 74 The three University of Illinois System universities (University of Illinois at Urbana-Champaign,
- 75 University of Illinois at Chicago, and University of Illinois at Springfield) are where the primary
- 76 academic work of the system takes place, including: (a) research, scholarship and creative activities; (b)
- 77 <u>teaching, mentoring and degree granting; (c) public and professional service and engagement; and (d)</u>
- economic development. Each university is separately accredited and is led by a chancellor who also serves
   as a vice president for the system. Accordingly, each institution is referred to in the governing documents
- 80 as a "university," reserving the terms "campus" and "university campus" for references to their physical
- 81 location and environment.

"the system."

- 82
- 83 When referenced in the governing documents, "University of Illinois" and "University of Illinois System"
- 84 refer to the institution as a whole, which includes the three universities as well as the system offices, and
- 85 any other units associated with the institution as a whole. This larger organization is a single entity from 86 the standpoint of state law and budgetary allocation. In shorthand, it is referred to as the U of I System or
- 86 <u>the standpoint of state law and budgetary allocation. In shorthand, it is referred to as the U of 1</u>
   87 simply "the system." The adjectival form is "system-wide."

<sup>&</sup>lt;sup>1</sup> In a few instances, such as University Counsel, offices have both a system-level and university footprint

88	University of Illinois System
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90	NONDISCRIMINATION STATEMENT
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92	The commitment of the University of Illinois System to the most fundamental principles of academic
93	freedom, equality of opportunity, and human dignity requires that decisions involving students and
94	employees be based on <u>individual</u> merit and be free from invidious discrimination in all its forms.
95	
96 07	The University of Illinois <u>System</u> will not engage in discrimination or harassment against any person
97 08	because of race, color, religion, <u>sex</u> , national origin, ancestry, age, marital status, <u>order of protection</u>
98 99	status, genetic information, disability, pregnancy, sexual orientation including gender identity,
99 100	unfavorable discharge from the military or status as a protected veteran and will comply with all federal and state nondiscrimination, equal opportunity and affirmative action laws, orders and regulations. This
100	nondiscrimination policy applies to admissions, employment, access to and treatment in the University
102	programs and activities of the University of Illinois System.
102	programs and activities of the oniversity of minors bystem.
104	University cComplaint and grievance procedures provide employees and students with the means for the
105	resolution of complaints that allege a violation of this Statement. Members of the public should direct
106	their inquiries or complaints to the appropriate equal opportunity office.
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110	REVISED: September 15, 2017
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### 113 UNIVERSITY OF ILLINOIS STATUTES

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115 116 The regulations of the Board of Trustees for the guidance of the staff of the University of Illinois 117 were called Bylaws until 1901, when the term *Statutes* was applied. In 1908, the board adopted a revision 118 of the *Statutes* which was much more comprehensive than anything that preceded it; from 1908 to 1931, 119 separate articles were amended and new articles were added, but no general consideration was given to 120 the regulations as a whole. During the period of 1931 to 1934, the board adopted certain statutes relating 121 particularly to the educational and administrative organization of the University. In 1935, the Board of 122 Trustees appointed a committee of its members to consider the *Statutes*, including various administrative 123 regulations, which had been adopted from time to time, as a whole, and to present a revised code. This 124 compilation was approved by the Board of Trustees on March 10, 1936, as the University of Illinois 125 *Statutes*, and all previous editions were declared to be superseded.

On January 16, 1957, the Board of Trustees adopted a revised version of the *Statutes*, upon
 recommendation of the University Senate and the President of the University. Preliminary to this formal
 action, a special committee of the Board of Trustees worked with a committee of the University Senate in
 preparing the final draft.

Preliminary drafts of the present *Statutes* were prepared cooperatively by committees of the three senates and administrative officers. The final draft, adopted on May 17, 1972, by the Board of Trustees upon recommendation of the senates, the University Senates Conference, and the President of the University superseded all previous versions and editions of all corresponding *Statutes*. This edition contains all amendments approved since May 17, 1972.

In 2012-2018, a thorough review of the *Statutes* was undertaken to revise and clarify provisions that
 were out of date or inconsistent with changed system and university practice.

- 141 *Statutes* on the Web: <u>http://www.uillinois.edu/trustees/statutes.cfm</u>
- 142 143

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235	PREAMBLE
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237	
238	The University of Illinois, as a state university, is subject to the control of the Illinois
239	General Assembly. The General Assembly, subject to the limitations of the state constitution
240	and to such self-imposed restraints as are essential to the maintenance of a free and distinguished
241	University, exercises control by virtue of its authority to change the laws pertaining to the
242	University and its power to appropriate funds for the maintenance and improvement of the
243	University. Under existing state law the University of Illinois is a public corporation, the formal
244	corporate name of which is "The Board of Trustees of the University of Illinois."
245	
246	Within the limits fixed by the Illinois constitution and laws, the Board of Trustees
247	exercises final authority over the University. For the proper use of funds appropriated by the
248	General Assembly and for the proper administration and government of the University, the board
249	is responsible to the people of Illinois, on whose behalf its members are appointed. The board is
250	the governing body of the University and exercises jurisdiction in all matters except those for
251	which it has delegated authority to the president, other officers, or bodies of the University.
252	
253	The educational policy, organization, and governance of the University as delegated by
254	the Board of Trustees are promulgated in these Statutes. When acting on such matters, the board
255	relies upon the advice of the university senates transmitted to it by the President of the
256	University. In these matters each senate has a legitimate concern which justifies its participation
257	in the enactment and amendment of the Statutes. The Board of Trustees reserves the power to
258	initiate and make changes in the <i>Statutes</i> , but before making any change it will seek the advice
259	of the senates.
260	
261	The General Rules Concerning University Organization and Procedure document
262	supplements the Statutes. The General Rules are subordinate to the Statutes and deal with
263	administrative organization, with the powers, duties, and responsibilities of officers of the
264	University, and with various administrative matters.
265	The Decord of Trustees delegates to the Dresident of the University the systemity to
266	The Board of Trustees delegates to the President of the University the authority to
267 268	promulgate regulations and rules implementing <i>The General Rules Concerning University</i> Organization and Procedure. These are printed in the Business and Financial Policies and
268	Procedures manual and other documents subordinate to the Statutes and The General Rules
209 270	setting forth established policies and procedures.
270	setting forth established poncies and procedures.
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#### 273 ARTICLE I. UNIVERSITY SYSTEM ADMINISTRATION

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#### 275 Section 1. Functions of the Board of Trustees

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The Board of Trustees <u>is appointed by the governor of Illinois and bears the ultimate</u> responsibility to the people of the State. It approves formulates university policies but leaves the execution of those policies to its administrative agents, acting under its general supervision. It is the responsibility of the board to secure the needed revenues for the University <u>of Illinois System</u> and to determine the ways in which <u>university system</u> funds shall be applied.

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#### 283 Section 2. The President of the University <u>of Illinois System</u>

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285 The president is the chief executive officer of the University of Illinois System and is a 286 member of the faculty of each college, school, institute, division, and academic unit therein. The 287 president shall be elected by the Board of Trustees, after consultation with a committee 288 appointed for the purpose of recommending appropriate candidates. The and the president's term 289 of office shall be at the pleasure of the board. The president shall attend the meetings of the 290 board and participate in its deliberations; may act with freedom within the lines of general policy 291 approved by the board; shall prepare the annual budgets for presentation to the board; and shall 292 recommend to the board suitable persons for positions in the University system, including 293 appointments to appropriate administrative positions, other than academic, which are not 294 provided for in the Statutes. In case of exigencies, it is within the proper jurisdiction of the 295 president to make appointments so that the work of the University system shall not be 296 interrupted, but such appointments shall be subject to confirmation by the board. The president is 297 responsible for the enforcement of the rules and regulations of the University of Illinois System; 298 shall make such recommendations to the board and to the senates as the president may deem 299 desirable for the proper conduct and development of the University system; and shall issue 300 diplomas conferring degrees, but only on the recommendation of the appropriate senate and by 301 authority of the Board of Trustees. The president may designate the administrative officer(s) who 302 shall exercise the functions of the president during the absence of the president from duty, which 303 designation(s) shall be subject to change by the Board of Trustees. 304

#### 305 Section 3. The University <u>of Illinois System</u> Officers

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The <u>University of Illinois System</u> university officers are identified in *The General Rules Concerning University Organization and Procedure*. Prior to recommending to the Board of
 Trustees the initial appointment of any <u>university system</u> officer, except the president and the
 chancellor/vice president, the president shall seek the advice of the University Senates
 Conference. On the occasion of the reappointment of any <u>university</u> system officer, the
 University Senates Conference may submit its advice if it so elects.

#### 314 Section 4. Other University System Administrative Officers

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There may be additional administrative officers with <u>university system</u>-wide responsibilities and duties as delegated by the <u>Pp</u>resident <del>of the University</del>. The president may make changes in titles and assignment of responsibilities of <u>these</u> officers and may recommend

to the Board of Trustees additional administrative positions as provided for in Section 2 of thisArticle.

321

#### 322 Section 5. Chancellors and Vice Presidents

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324 There shall be a chancellor at each <del>campus</del> university of the University of Illinois System 325 who shall also be a vice president of the University system (chancellor/vice president). The 326 chancellor/vice president, under the direction of the president, shall serve as the chief executive 327 officer for the campus university. The chancellor/vice president shall perform such duties as may 328 be delegated and assigned by the president and may be consistent with the Statutes of the 329 University of Illinois System, *The General Rules*, and actions of the Board of Trustees. As 330 system officers, the chancellors/vice presidents have responsibility for advising and working 331 with the president and other system officers to advance the well-being of the entire system as 332 well as their own individual university. 333

The chancellor/vice president shall be appointed annually by the Board of Trustees on the recommendation of the president. On the occasion of the appointment of a new chancellor/vice president, <u>or a major review of an incumbent chancellor/vice president</u>, the president shall have the advice of a committee selected by the senate of the <del>campus</del> <u>university</u> concerned. <del>On the</del> occasion of a reappointment, the senate may submit its advice if it so elects.

## 340Section 6.The General Rules Concerning University Organization and341Procedure

342 343 The General Rules supplement the Statutes. The General Rules are subordinate to the 344 Statutes and deal with administrative organization, with the powers, duties, and responsibilities 345 of officers of the University of Illinois System, and with university employment policies, 346 property, and other matters. The General Rules are adopted by the Board of Trustees acting on 347 the advice of the Ppresident of the University. The board reserves the right to make changes in 348 The General Rules after consultation with the president. Before providing such advice or 349 consultation, the president shall consult with the University Senates Conference, with due regard 350 for the provisions of Article XII, Section 5. However, consultation with the conference is not 351 required when because of exceptional circumstances a proposed action of the Board of Trustees 352 would authorize a deviation from *The General Rules* for a specific transaction. 353

## 354ARTICLE II.LEGISLATIVE ORGANIZATION

Section 1. 356 **Campus** <u>University</u> Senates 357 358 A senate shall be constituted at each <del>campus</del> university of the University of Illinois a. 359 System. The basic structure of a senate, including its composition, shall be provided for in its 360 constitution. The constitution and any amendments thereto shall take effect upon adoption by the 361 senate concerned and approval thereof by the Board of Trustees. 362 363 b. Each senate may exercises legislative functions in matters of educational policy 364 affecting the University as a whole or its university own campus only. No such senate action 365 shall take effect until it has been submitted to the University Senates Conference as provided in 366 Article II, Section 2, and either approved by the Board of Trustees itself or approved in a manner 367 agreed to by the board. 368 369 Except as otherwise provided in these Statutes, each senate shall determine for its c. 370 university eampus matters of educational policy including but not limited to: requirements for 371 admission to the several colleges, schools and other teaching divisions; general requirements for 372 degrees and certificates; relations among colleges, schools and other teaching divisions; the 373 academic calendar; and educational policy on student affairs. Neither the powers conferred on 374 the senates by this paragraph (c) nor the powers conferred by Article II, Section 1b shall extend 375 to matters over which the college is given jurisdiction by Article III, Section 2c. 376 377 d. Each senate shall recommend candidates for honorary degrees and shall determine 378 for its campus university the manner in which the faculty shall recommend to the chancellor/vice 379 president candidates for earned degrees, diplomas, and certificates to be conferred by the 380 president under the authority of the Board of Trustees. 381 382 No new line of work involving questions of changes to general educational policy e. 383 shall be established on at any campus university except upon approval of the senate concerned 384 and except as elsewhere provided in these Statutes. 385 386 Each senate may propose amendments to these *Statutes* through the University f. 387 Senates Conference to the president and the Board of Trustees as provided in Article XIII, 388 Section 8. 389 390 Each senate shall adopt bylaws which, shall govern except as otherwise provided in g. 391 these *Statutes*, shall govern its procedures and practices, including such matters as committee 392 structure and duties, calling of meetings and establishment of agenda, and selection of officers. 393 The bylaws of each senate shall provide for committees or other bodies to exercise those 394 statutory duties specified in other sections of these Statutes, e.g., academic freedom and tenure, 395 student discipline, and student affairs. The bylaws and any changes thereto shall be reported to 396 the Board of Trustees through the chancellor/vice president and the president. 397 Section 2. **University Senates Conference** 398 399 400 **Organization** a. 401

402 The University Senates Conference shall be made up of twenty members. (1) 403 The basic representation shall be two members from each university senate. Additional members 404 shall be apportioned to each senate, at least one from each senate, in numbers proportional to the 405 number of faculty members on at each eampus university. The apportionment shall be 406 recalculated every five years. Each senate shall elect its own representatives from its 407 membership. 408 409 (2) Senators whose senatorial terms expire before their conference terms 410 expire shall complete their conference terms. Any faculty senator or faculty senator-elect shall be 411 eligible for election to the conference. The term of office shall be three years beginning on the 412 first day of the next academic year following the election. Approximately one-third of the 413 conference members from each senate shall be elected annually. 414 415 A quorum for conference meetings shall consist of a simple majority of (3) the total membership of the conference. If a quorum cannot be obtained otherwise, the 416 417 conference members from a senate may designate as many as two alternates from the faculty 418 members of their own senate to serve at a specific meeting. 419 420 (4) The conference officers shall be a chair and a vice chair, who shall not be 421 from the same senate and who shall be elected for one-year terms by and from the conference and shall not be from the same senate. The chair shall not be from the same senate in two 422 423 consecutive years. 424 425 The executive committee of the conference shall consist of two members (4<del>5</del>) from each senate: the conference chair, the conference vice chair, and four additional members 426 427 elected annually by and from the conference. The conference may authorize the executive 428 committee to act on behalf of the conference between scheduled meetings. 429 430 The University Senates Conference shall adopt bylaws which, except as (5) 431 otherwise provided in these Statutes, shall govern its procedures and practices, including such matters as committee structure and duties, calling of meetings and establishment of agenda, 432 433 election of officers, and definition of quorum. The bylaws shall provide for procedures to exercise those statutory duties specified in Article II, Section 2 (b). The bylaws and any changes 434 435 thereto shall be reported to the university senates and to the Board of Trustees through the 436 president. 437 438 b. Functions. 439 440 The University Senates Conference shall review all matters acted upon by each 441 university senate. The conference shall determine whether senate actions requiring 442 implementation or further consideration by officials or other groups within the University of 443 Illinois System have been referred to the appropriate officials or groups. The conference itself 444 may make any original or additional referral it deems advisable, and may append its comments 445 and recommendations. Should the conference find a matter acted upon by one of the senates to 446 be of concern to another senate, it shall refer the matter and the action to that senate. If two or 447 more senates have acted differently on a subject, the conference shall attempt to promote 448 agreement or consistency. Where agreement or consistency cannot be effected within a 449 reasonable period of time, the conference shall transmit the related actions of the senates together 450 with its own recommendations to the appropriate officials or groups within the University of

451 Illinois System and shall simultaneously notify the clerk or secretary of each senate of its action.

- 452 Any senate may record and transmit its further comments to the same addressees and to the conference.
- 453 454

455 The University Senates Conference shall assist the senates to communicate with 456 one another, with University system and campus university administrative officials, and with the 457 Board of Trustees through the president (through the president), and may develop and implement 458 procedures to enhance such communication.

459

460 The conference may act and may authorize its executive committee to act as an c. 461 advisory group to the Board of Trustees (through the president), the president, other 462 administrative officials, and the several senates on matters of university system-wide concern. It 463 shall be a special concern of the conference executive committee to aid in maintaining 464 harmonious relations among such officers and the units of the University of Illinois System.

465 466

467

#### Section 3. Faculty Role in Governance

468 The faculty of the University of Illinois System and any of its units except a. (1) 469 for the Graduate College consists of those members of the academic staff with the rank or title in 470 that unit of professor, associate professor, or assistant professor who are tenured or receiving 471 probationary credit toward tenure, and those administrators in the direct line of responsibility for 472 academic affairs (persons who hold the title director or dean in an academic unit, provost or 473 equivalent officer, chancellor/vice president and president). Administrative staff members not in 474 the direct line of responsibility for academic affairs are members of the faculty only if they also 475 hold faculty appointments. The bylaws of any academic unit may further mandate a minimum 476 percent faculty appointment in that unit for specified faculty privileges, such as voting privileges. 477

478 The bylaws of a unit may grant specified faculty privileges to selected faculty (2) 479 of other units. The bylaws may also grant specified faculty privileges to members of the 480 academic staff of the unit or of other units who are not included in subsection 1 above (i.e., 481 neither tenured nor receiving probationary credit toward tenure), and who have the rank or title 482 of professor, associate professor, assistant professor, instructor, or lecturer. The bylaws may also 483 grant specified faculty privileges to members of the academic staff of the unit or of other units 484 who have the rank or title of professor, associate professor, assistant professor, instructor, or lecturer modified by the terms "research," "adjunct," "clinical," "visiting" and/or "emeritus" 485 486 (e.g., "research professor," "adjunct assistant professor," "clinical associate professor," "visiting 487 professor"). Only academic staff with titles listed above may be extended faculty privileges. 488 Voting on these provisions of the bylaws is limited to those named in subsection (1) above. 489

490 As the responsible body in the teaching, research, and scholarly activities of the b. 491 University of Illinois System, the faculty has inherent interests and rights in academic policy and 492 governance. Each college or other academic unit shall be governed in its internal administration 493 by its faculty, as defined in Section 3a (1) above. Governance of each academic unit shall be 494 based on unit bylaws established and amended by the faculty of that unit. The bylaws shall 495 provide for the administrative organization and procedure of the unit, including the composition 496 and tenure of executive or advisory committees. Except that they may not conflict with these 497 Statutes, or other specific actions of the Board of Trustees, or with the bylaws of a unit which 498 encompasses it, the details of the bylaws are left to the faculty of the unit.

499

#### Section 4. **Faculty Advisory Committee** 500

501

502 Faculty advice and recommendations on University governance are traditionally 503 provided to the administration through standing and ad hoc committees and representation in the 504 senate. In addition, at each campus university the faculty shall elect a Faculty Advisory 505 Committee. The committee shall consist of nine faculty members on the Chicago and Urbana-506 Champaign campuses, three of whom shall be elected each year. The committee shall consist of 507 seven faculty members on the Springfield campus, two of whom shall be elected each year and 508 the seventh every third year. The three-year terms will commence on the first day of the 509 academic year following the election.

510

511 Each campus senate shall determine eligibility for membership on the Faculty Advisory 512 Committee for its <del>campus</del> university from among the members of the Faculty Advisory 513 Committee electorate, excluding those who hold administrative appointments. Any eligible 514 person may be nominated as a committee member by a petition signed by three members of the 515 electorate and filed with the clerk or secretary of the senate. The deadline for filing shall be set 516 by each campus senate. The clerk or secretary of the senate shall conduct the election as soon as 517 possible thereafter. The eligible nominees for the number of seats to be filled receiving the 518 highest number of votes shall be declared elected. If vacancies arise between regular elections, 519 the eligible nominee with the next highest number of votes at the most recent election shall be 520 declared a member of the committee. In the absence of any such nominee willing and able to 521 serve, the vacancy shall be filled at the next regular election.

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523

No more than two members of the committee may hold paid appointments in the same 524 college or in the same unit organized independently of a college.

525

526 The committee shall elect its own chair at its first meeting of each academic year. The 527 committee shall adopt its rules of procedure, copies whereof shall be sent to all members of the 528 academic staff (as defined in Article IX, Sections 4a and 3c) and to the chancellor/vice president 529 and the president. The committee shall make such reports to the chancellor/vice president, the 530 president, the senate, and the faculty as it deems appropriate at least once a year.

531

532 The committees shall provide for the orderly voicing of suggestions for the good of the 533 University, afford added recourse for the consideration of grievances, and furnish a channel for 534 direct and concerted communication between the academic staff (as defined in Article IX, 535 Sections 4a and 3c) and the administrative officers of the Uuniversity, its colleges, schools,

536 institutes, divisions, and other administrative units on matters of interest or concern to the

537 academic staff (as defined in Article IX, Sections 4a and 3c) or any member of it.

538 Academic staff members who are members of the Professional Advisory Committee electorate 539 shall use the procedures outlined in Section 5 of Article II.

540

541 In performing its functions, the committee upon the request of the chancellor/vice 542 president, the president, or any member of the academic staff (as defined in Article IX, Sections 4a and 3c), or upon its own initiative shall make such investigations and hold such consultations 543 544 as it may deem to be in the best interest of the Uuniversity. A member of the academic staff (as

545 defined in Article IX, Sections 4a and 3c), or upon its own initiative shall make such investigations and hold such consultations as it may deem to be in the best interest of the
Uuniversity. A member of the academic staff (as defined in Article IX, Sections 4a and 3c) or a
retired member shall be entitled to a conference with the committee or with any member of it on
any matter properly within the purview of the committee.

- 551 Section 5. Professional Advisory Committee
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At each <u>campus university</u>, the academic professional staff whose appointments as academic professionals require at least 50 percent (50%) of full-time service shall elect a professional advisory committee. The academic professional staff consists of those staff members on academic appointment whose positions have been designated by the president and the chancellor/vice president as meeting specialized administrative, professional, or technical needs in accordance with Article IX, Sections 3a, 3c, and 4a.

559

Any member of the professional advisory committee electorate shall be eligible for membership. University System-level administration staff shall be members of the electorate of the campus university at which their principal office is located. Each chancellor/vice president (or the president in the case of university system-level administration staff members) after consultation with the body may identify senior administrative officers to be excluded from the electorate.

567 Bylaws and articles of procedure covering such matters as name of the body, nomination 568 and election of members and officers, size of the body, and terms of office shall be developed at 569 each <del>campus</del> <u>university</u> and after approval by the chancellor/vice president made available to the 570 members of the electorate.

571

572 The body shall provide for the orderly voicing of suggestions for the good of the 573 Uuniversity, afford added recourse for the consideration of grievances, and furnish a channel for 574 direct and concerted communication between the academic professional staff and the 575 administrative officers of the Uuniversity, its colleges, schools, institutes, divisions, and other 576 administrative units on matters of interest or concern to the academic professional staff or any 577 member of it. The body shall report to the chancellor/vice president, the president, and the 578 academic professional staff at least once a year.

579

580 In performing its functions, the body upon the request of the chancellor/vice president, 581 the president, or any member of the academic professional staff, or upon its own initiative shall 582 make such investigations and hold such consultations as it may deem to be in the best interest of 583 the <u>Uu</u>niversity. Any member or retired member of the academic professional staff shall be 584 entitled to a conference with the body or with any member of it on any matter properly within the 585 purview of the body. 586

588 589 590 591	ARTICL	E III. CAMPUSES <u>UNIVERSITIES</u> , COLLEGES, AND SIMILAR <del>CAMPUS</del> <u>UNIVERSITY</u> UNITS
592	Section 1.	The <del>Campus</del> <u>University</u>
593		
594	<b>a.</b>	The campus <u>university</u> is the largest educational and administrative group. It is
595 596	1	colleges, schools, institutes, and other educational units in conjunction with re and service organizations.
597	administrativ	e and service organizations.
598	b.	The legislative body for the campus university shall be the campus senate, as
599		Article II, Section 1.
600		
601		The transfer of any line of work or any part thereof from one <u>campus university</u> to
602		be made on the recommendation of the senates and chancellors/vice presidents of
603 604	-	<u>universities</u> involved, the University Senates Conference, and the president upon the Board of Trustees.
605	appioval by t	he Board of Hustees.
606	d.	The chancellor/vice president, under the direction of the president, shall be the
607		ve officer of the <del>campus</del> <u>university</u> , as provided in Article I, Section 5.
608		
609		<u>At each university</u> , <u>T</u> there shall be a provost and vice chancellor for academic
610 611		livalent officer who will serve as at each campus who shall be the chief academic
612		the chancellor/vice president for each campus and who will serve as chief executive absence of the chancellor/vice president.
613	officer in the	absence of the chancehol/ vice president.
614	f.	There may be additional vice chancellors with campus university-wide
615	responsibiliti	es and other administrative officers with responsibilities and duties as delegated by
616	the chancello	r/vice president.
617	_	View the set of the second state to second state the Decent of Transformer the
618 619	g.	Vice chancellors shall be appointed annually by the Board of Trustees on the tion of the chancellor/vice president and the president. The chancellor/vice president
620		occasion of each appointment seek the advice of the executive committee of the
621		te. The executive committee may seek the counsel of other <del>campus</del> <u>university</u> bodies
622	in preparing i	
623		
624	Section 2.	The College
625	Section 2.	The Conege
626	a.	The college is an educational and administrative group comprised of departments
627		ts with common educational interests.
628		
629		The faculty of a college shall be constituted as specified in Article II,
630	· · ·	). The college shall be governed in its internal administration by its faculty under
631	bylaws establ	lished by the faculty, as specified in Article II, Section 3b.
632		

633 Subject to the jurisdiction of the senates as provided in Article II, Section 1, the c. 634 college shall have jurisdiction in all educational matters falling within the scope of its programs, 635 including the determination of its curricula, except that proposals which involve budgetary 636 changes shall become effective only when are subject to the approval of the chancellor/vice president has approved them. The college has the fullest measure of autonomy consistent with 637 638 the maintenance of general university educational policy and correct academic and 639 administrative relations with other divisions of the Uuniversity. In questions of doubt concerning 640 the proper limits of this autonomy between the college and the senate, the college shall be 641 entitled to appeal to the chancellor/vice president for a ruling. 642

643

d. The transfer of any line of work or any part thereof to or from a college or to or 644 from some other educational or administrative group within a campus university shall be made 645 on the recommendation of the appropriate senate and the chancellor/vice president and on 646 approval of the president.

- 647
- 648 649

The faculty of a college shall elect its secretary and committees. e.

650 f. An executive committee of two or more members elected annually by and from the 651 faculty of the college by secret written ballot shall be the primary advisory committee to the dean 652 of the college. It shall advise the dean on the formulation and execution of college policies and 653 unless otherwise provided by the faculty of the college on appointments, reappointments, 654 nonreappointments, and promotions. and It shall also transact such business as may be delegated 655 to it by the faculty. The faculty may determine the size of its executive committee and may 656 choose to elect its members for two- or three-year staggered terms. Not more than one-half of the 657 membership of the executive committee shall be from one department or comparable teaching 658 unit of the college. The dean is *ex officio* a member and chair of the committee. While the 659 executive committee is in session to prepare its advice on appointment of the dean or to review 660 the dean's performance, the dean shall not be a member and the committee shall be chaired by a 661 committee member elected by the committee for that purpose. 662

- Section 3. The Dean 663
- 664

665 a. The dean is the chief executive officer of the college, responsible to the 666 chancellor/vice president for its administration, and is the agent of the college faculty for the 667 execution of college educational policy.

668

669 b. The dean shall be appointed annually by the Board of Trustees on recommendation 670 by the chancellor/vice president and the president. On the occasion of each recommendation, the chancellor/vice president shall seek the prior advice of the executive committee of the college 671 672 concerned. The performance of the dean shall be evaluated at least once every five years in a 673 manner to be determined by the college faculty.

674

675 On recommendation of the dean and the chancellor/vice president, the president c. 676 may appoint annually associate or assistant deans as required. 677

678 d. The dean shall (1) call and ordinarily preside at meetings of the college faculty to 679 consider questions of college and departmental governance and educational policy at such times 680 as the dean or the executive committee may deem necessary but not less frequently than once in 681 each academic year; (2) formulate and present policies to the faculty for its consideration, but 682 this shall not be interpreted to abridge the right of any member of the faculty to present any 683 matter to the faculty; (3) make reports on the work of the college; (4) oversee the registration and 684 progress of the students in the college; (5) be responsible for the educational use of the buildings 685 and rooms assigned to the college and for the general equipment of the college as distinct from 686 that of the separate departments; (6) serve as the medium of communication for all official 687 business of the college with other <del>campus</del> university authorities, the students, and the public; 688 (7) represent the college in conferences, except that additional representatives may be designated 689 by the dean for specific conferences; (8) prepare the budget of the college in consultation with 690 the executive committee of the college; and (9) recommend the appointment, reappointment, 691 nonreappointment, and promotion of members of the academic staff. Regarding appointments, 692 reappointments, nonreappointments, and promotions, the dean shall consult with the appropriate departmental chair(s) and executive committee(s), or department head(s) who shall provide the 693 694 dean with the advice of the advisory committee or other appropriate committee as specified in 695 the department bylaws. Recommendations to positions on the academic staff shall ordinarily 696 originate with the department, or in the case of a group not organized as a department with the 697 person(s) in charge of the work concerned and shall be presented to the dean for transmission 698 with the dean's recommendation to the chancellor/vice president. In case a recommendation 699 from a college is not approved by the chancellor/vice president, the dean may present the 700 recommendation to the president, and, if not approved by the president, the dean with the consent 701 of the Board of Trustees may present the recommendation in person before the Board of Trustees 702 in session.

703

#### 704 Section 4. The School and Similar Campus <u>University</u> Units

705

# a. In addition to colleges and departments, there may be other units of a campus <u>university</u>, such as a school, institute, center, hospital, and laboratory, of an intermediate character designed to meet particular needs.

- 5. Such a unit organized independently of a college shall be governed in the same
  manner as a college.
- **c.** The school organized within a college is an educational and administrative unit composed primarily of academic subunits. The subunits are related and have common interests and objectives but emphasize academically distinct disciplines or functions. The faculty of each subunit shall have the power to determine such matters as do not so affect relations with other subunits of the school or with units outside the school that those relations properly come under the supervision of larger administrative units.
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- 720 721

d.

Governance of schools and similar <del>campus</del> units within a college:

(1) The internal structure, administration, and governance of a school within a
 college shall be determined by its faculty under bylaws established by the faculty. Bylaws of the
 school shall be consistent with those of the college.

(2) The school has the fullest measure of autonomy consistent with the maintenance of general college and university educational policy and with appropriate academic and administrative relations with other divisions of the Uuniversity. In questions of doubt concerning the proper limits of this autonomy, the school may appeal directly to the dean and the executive committee of the college and shall be entitled to appeal subsequently to the chancellor/vice president.

732

733 (3) An executive committee selected according to the bylaws of the school shall 734 be the primary advisory body to the director of the school. The director is ex officio a member 735 and chair of the committee. The executive committee shall advise the director on the formulation 736 and execution of school policies and unless otherwise provided by the faculty of the school on 737 appointments, reappointments, nonreappointments, and promotions. It shall advise the director 738 on the preparation of the budget. The committee shall provide for the orderly voicing of 739 suggestions for the good of the school, recommend procedures and committees that will 740 encourage faculty participation in formulating policy, and perform such other tasks as may be 741 assigned to it by the faculty of the school. Any faculty member shall be entitled to a conference 742 with the executive committee or with any member of it on any matter properly within the 743 purview of the committee. If the committee is in session to prepare its advice on appointment of 744 the director or to review the director's performance, the director shall not be a member, and the 745 committee shall be chaired by a committee member elected by the committee for that purpose. 746

(4) Departments within a school shall be governed as specified in Article IV
 except that communications and recommendations to the college, campus <u>university</u>, or the
 University system shall be transmitted through the school for approval, comment, or information
 as appropriate. Other subunits shall be governed by regulations set forth in the school bylaws.

(5) Executive officers of departments or subunits of a school shall be evaluated
at least once every five years in a manner to be determined by the faculty of the school and
college.

(6) An intermediate unit within a college, such as an institute, center, hospital, or
laboratory in which academic staff appointments are made in accordance with Article X,
Section 1, shall be governed as a department as specified in Article IV. Other intermediate units
within a college shall be governed as stated in the bylaws of the college.

## 761Section 5.The Dean or Director of a School or Similar Campus762University Unit

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764 In a school or similar <del>campus</del> unit independent of a college, the <del>chief</del> executive a. 765 officer shall be a dean or director appointed annually by the Board of Trustees on the 766 recommendation of the chancellor/vice president and the president. On the occasion of each 767 recommendation, the chancellor/vice president shall seek the prior advice of the executive 768 committee of the faculty concerned. Within the school or similar campus unit, the duties of a 769 director or a dean shall be the same as those of the dean of a college. The performance of the 770 dean or director shall be evaluated at least once every five years in a manner to be determined by 771 the faculty of the unit. 772

773 In a school or similar <del>campus</del> unit included within a college, the <del>chief</del> executive b. 774 officer shall be a director appointed annually by the Board of Trustees on the recommendation of 775 the dean of the college, the chancellor/vice president, and with the concurrence of the president. 776 On the occasion of each recommendation, the dean shall seek the prior advice of the executive 777 committee of the unit. The director shall (1) call and ordinarily preside at meetings of the school 778 faculty to consider questions of school and subunit governance and educational policy at such 779 times as the director or the executive committee may deem necessary but not less frequently than 780 once in each academic year; (2) formulate and present policies to the faculty for its 781 consideration, but this shall not be interpreted to abridge the right of any member of the faculty 782 to present any matter to the faculty; (3) make reports on the work of the school; (4) have general 783 supervision of the work of students in the school; (5) be responsible for the educational use of 784 the buildings and rooms assigned to the school and for the general equipment of the school as 785 distinct from that of the separate subunits; (6) serve as the medium of communication for all 786 official business of the school with the college, the students, and the public; (7) represent the 787 school in conferences except that additional representatives may be designated by the director for 788 specific conferences; (8) prepare the budget of the school in consultation with the executive 789 committee of the school; and (9) recommend the appointment, reappointment, 790 nonreappointment, and promotion of members of the academic staff. Regarding 791 recommendations of appointments, reappointments, nonreappointments, and promotions of the 792 members of the faculty, the director shall consult with the department's or subunit's executive 793 officer who shall provide the director with the advice of the appropriate committee(s). Such 794 recommendations shall ordinarily originate with the subunit or in the case of a group not 795 organized as a subunit with the person(s) in charge of the work concerned and shall be presented 796 to the director for transmission with the director's recommendation to the dean of the college. 797 The performance of the director shall be evaluated at least once every five years in a manner to 798 be determined by the faculty of the school and college. 799

800

### 801 ARTICLE IV. DEPARTMENTS

802

#### 803 Section 1. The Department

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805 The Within the University of Illinois System, the department is the primary unit of a. 806 education and administration within the University. It is established for the purpose of carrying 807 on programs of instruction, research, and public service in a particular field of knowledge. The 808 staff of a department includes persons of all ranks who upon the recommendation of its head or 809 chair are appointed or assigned to it. The faculty of a department shall be as specified in Article 810 II, Section 3a of these Statutes. All appointments which carry academic rank, title, or tenure 811 indicative in any way of departmental association shall be made only after with the concurrence 812 of the department(s) concerned.

813

b. The department has the fullest measure of autonomy consistent with the
maintenance of general college and university educational policy and correct academic and
administrative relations with other divisions of the Uuniversity. Should a dispute arise between
the department and another unit of the campus university concerning the proper limits of this
autonomy, the department may appeal for a ruling directly to the dean and the executive

- 819 committee of the college and, when the chancellor/vice president considers it proper, to the 820 chancellor/vice president, who shall make a decision after appropriate consultation
- 820 chancellor/vice president, who shall make a decision after appropriate consultation.
- 821

c. A department may be organized either with a chair or with a head. <u>A reorganization</u>
 of the administrative structure of a department from a chair to a head, or a head to a chair, may
 be accomplished only by Section 4 of this Article.

825

#### 826

#### 827 Section 2. Department Organized with a Chair

828

**a.** The chair shall be appointed annually by the Board of Trustees on recommendation of the chancellor/vice president <del>and</del> <u>with the concurrence of</u> the president after consultation with the dean of the college and with the executive committee of the department concerned. The performance of the chair shall be evaluated at least once every five years <u>in a manner to be</u> <u>determined by the faculty</u>. As one component of this evaluation, views shall be solicited from the entire department faculty <u>in such a way as to preserve confidentiality</u>.

835

b. In each department organized with a chair, the executive committee shall
recommend individuals for academic appointment in the department. With the consent of the
executive committee or as specified in the department bylaws, persons who are not members of
the department faculty may be invited by the chair to attend meetings of the department faculty
but such persons shall have no vote.

841

c. The faculty of the department shall have power to determine such matters as do not
so affect relations with other departments or colleges that they properly come under the
supervision of larger administrative units.

845

846 d. In each department organized with a chair, there shall be an executive committee 847 elected annually by and from the faculty of the department by secret written ballot. At least one-848 half of the members of the departmental executive committee shall be elected from those faculty 849 members who have at least a 50-percent salaried appointment in the University of Illinois 850 System. The faculty may choose to elect members of the executive committee for staggered two-851 or three-year terms. The chair of the department is ex officio a member and chair of the executive 852 committee. The chair and the executive committee are responsible for the preparation of the 853 budget and for such matters as may be delegated to them by the faculty of the department. In a 854 department which has a faculty of not more than five members, the executive committee shall 855 consist of the entire faculty. In all other cases, the size of the executive committee shall be 856 determined by the faculty of the department. If the executive committee is in session to evaluate 857 the chair's performance, the chair shall not be a member and the committee shall be chaired by a 858 committee member elected by the committee for that purpose.

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**e.** In each department organized with a chair, that officer shall be responsible for the formulation and execution of departmental policies and the execution of <u>system</u>, <u>Uuniversity</u>, and college policies insofar as they affect the department. The chair shall have power to act independently in such matters as are delegated to the chair by the executive committee. The chair shall (1) report on the teaching and research of the department; (2) have general oversight of the work of students in the department; (3) collaborate with the executive committee in the 866 preparation of the budget and be responsible for the expenditure of departmental funds for the 867 purposes approved by the executive committee; and (4) call and preside at meetings of the 868 executive committee and at meetings of the department faculty of which there shall be not fewer 869 than one in each academic year for consideration of questions of departmental governance and 870 educational policy. The chair together with the executive committee is responsible for the 871 organization of the work of the department and for the quality and efficient progress of that 872 work. Any faculty member shall be entitled to a conference with the executive committee or with 873 any member of it on any matter properly within the purview of the committee. 874

875 **f.** In the administration of the office, the chair shall recognize the individual 876 responsibility of other members of the department for the discharge of the duties comm

876 responsibility of other members of the department for the discharge of the duties committed to 877 them by their appointments and shall allow proper scope to the ability and initiative of all 877 for the duties of the duties committed to 878 them by their appointments and shall allow proper scope to the ability and initiative of all 879 for the duties of the duties committed to 879 the duties committed to 870 the duties committed to 870 the duties committed to 870 the duties committed to 871 the duties committed to 872 the duties committed to 873 the duties committed to 874 the duties committed to 875 the duties committed to 875 the duties committed to 876 the duties committed to 877 the duties committed to 878 the duties committed to 878 the duties committed to 879 the duties committed to 879 the duties committed to 870 th

878 members of the department.

#### 879 Section 3. Department Organized with a Head

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881 The head of a department shall be appointed without specified term by the Board of a. 882 Trustees on recommendation by the chancellor/vice president with the concurrence of the 883 president after confidential consultation with the dean of the college and all members of the 884 department faculty. The head may be relieved of title and duties as head of the department by the 885 chancellor/vice president on the recommendation of the dean of the college. The performance of 886 the head shall be evaluated at least once every five years in a manner to be determined by the 887 faculty. As one component of this evaluation, views shall be solicited from the entire department 888 faculty in such a way as to preserve confidentiality. 889

b. In each department organized with a head, the head in consultation with the
advisory committee shall recommend individuals for academic appointment in the department. In
consultation with the advisory committee or as specified in the department bylaws, the head may
invite other persons who are not members of the department faculty to attend meetings of the
department faculty, but such persons shall have no vote.

895

c. The head of the department shall have the power to determine such matters as do
 not affect other departments or properly come under the supervision of larger administrative
 units.

899

900 d. In each department organized with a head, the head shall have general direction of 901 the work of the department. The head shall (1) consult with the departmental advisory committee 902 in regard to departmental policy; (2) consult with each member of the department regarding the 903 nature and scope of the work in the charge of that member; (3) call and preside at meetings of the departmental faculty for explanation and discussion of departmental policies, educational 904 905 procedure, and research, of which there shall be at least one in each academic year for 906 consideration of departmental governance and educational policy; (4) be responsible for the 907 organization of the work of the department, for the quality and efficient progress of that work, 908 for the formulation and execution of departmental policies, and for the execution of system, 909 University, and college policies insofar as they affect the department; (5) report on the teaching 910 and research of the department; (6) have general supervision of the work of students in the 911 department; (7) prepare the departmental budget in consultation with the departmental advisory

912 committee; and (8) be responsible for the distribution and expenditure of departmental funds and 913 for the care of departmental property.

914

e. In the administration of the office, the head shall recognize the individual
responsibility of other members of the department for the discharge of the duties committed to
them by their appointments and shall allow proper scope to the ability and initiative of all
members of the department.

919

920 f. In each department organized with a head, there shall be an advisory committee 921 elected annually by and from the faculty of the department by secret written ballot. The 922 department faculty may choose to elect members of the advisory committee for staggered two- or 923 three-year terms. In a department which has a faculty of not more than five members, the 924 advisory committee shall consist of the entire faculty. In all other cases, the size of the advisory 925 committee shall be determined by the faculty of the department. The functions of the committee 926 shall be to provide for the orderly voicing of suggestions for the good of the department, to 927 recommend procedures and committees that will encourage faculty participation in formulating 928 policy, and to perform such other tasks as may be assigned to it. Any faculty member shall be 929 entitled to a conference with the committee or with any member of it on any matter properly 930 within the purview of the committee. If the advisory committee is in session to evaluate the 931 head's performance, the head shall not be a member and the committee shall be chaired by a 932 committee member elected by the committee for that purpose.

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#### 934 Section 4. Change of Departmental <u>Administrative</u> Organization

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936 On the written request of at least one-fourth of the faculty of the department, as defined 937 in Article II, Section 3a(1), and in no case fewer than two faculty members that the form of the 938 administrative organization of the department be changed from a chair to a head, or a head to a 939 chair, the dean shall call a meeting to poll the departmental faculty by secret written ballot. The 940 names of those making the request shall be kept confidential by the dean. The dean shall transmit 941 the results of the vote to the departmental faculty and to the chancellor/vice president together 942 with the dean's recommendation. If a change of organization is voted, the chancellor/vice 943 president shall thereupon transmit this recommendation vote of the faculty along with the 944 recommendations of the dean and of the chancellor/vice president to the president for 945 recommendation to the Board of Trustees. Faculty of the department may communicate with the 946 Board of Trustees in accordance with Article XIII, Section 4 of these *Statutes*. 947

### 948 ARTICLE V. GRADUATE COLLEGES

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#### 950 Section 1. The <u>Campus</u> <u>University</u> Graduate College

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**a.** On <u>At a campus university</u> with a Graduate College, the Graduate College shall have jurisdiction over all programs leading to graduate degrees as determined by senate action and approved by the Board of Trustees. It is the responsibility of the Graduate College to develop and safeguard standards of graduate work and to promote and assist in the advancement

956 of research in all fields.

957 958 b. Except as otherwise provided in this section, the Graduate College shall be 959 governed by the same regulations as govern other colleges. 960 The faculty of the Graduate College consists of the president, the chancellor/vice 961 c. 962 president, the provost or equivalent officer, the dean, and all those who on the recommendation 963 of the departments or of other teaching or research divisions have been approved by the 964 executive committee and the dean of the Graduate College to assume appropriate academic 965 responsibilities in programs leading to graduate degrees. Other administrative staff members are 966 members of the faculty of the Graduate College only if they also hold faculty appointments and 967 have been recommended and approved as provided above. 968 969 An executive committee shall be the primary advisory committee to the dean of the d. 970 Graduate College. It shall advise the dean on the formulation and execution of policies and on 971 other activities of the Graduate College. The executive committee consists of fourteen members 972 holding office for staggered two-year terms: eight elected members, four elected annually for 973 two-year terms by the faculty of the Graduate College and six members, three appointed each 974 year for two-year terms by the chancellor/vice president on the recommendation of the dean of 975 the Graduate College in consultation with the members elected that year. The dean of the 976 Graduate College is *ex officio* a member and chairs the committee. When meeting to give advice 977 on the appointment of the dean, the senior faculty member (in terms of service at with the 978 University of Illinois System) on the executive committee shall be chair and the dean shall not be 979 a member of the committee. 980 981 The principal administrative head of the Graduate College is the dean, who shall be e. 982 appointed in the same manner as are the deans of other colleges. 983 984 f. On the recommendation of the dean of the Graduate College and the 985 chancellor/vice president, the president may appoint annually associate or assistant deans of the 986 Graduate College as required. 987 988 On At a campus university with a Graduate College, the recommendation of its g. 989 dean shall be secured for the appointment to or promotion on the staff of any campus unit of a 990 person who may be expected to assume or who has academic responsibilities in programs 991 leading to graduate degrees. 992 On At a campus university without a Graduate College, the provost or equivalent 993 h. 994 officer shall be responsible for the functions of the graduate dean. 995 996 Section 2. **Special Units of the Graduate College** 997 998 On the recommendation of the Campus Research Board, the executive committee a. 999 and the dean of the Graduate College with approval by the president and the chancellor/vice 1000 president, the Board of Trustees may create special units of the Graduate College for the purpose 1001 of carrying on or promoting research in areas which are broader than the responsibility of any

1002 one department. Any such unit may be abolished by similar action.

b. Persons shall be appointed to the staff of such special units by the Board of
Trustees on the recommendation of the unit concerned, the dean of the Graduate College, the
chancellor/vice president, and the president. Appointments of persons who already have
academic rank and title indicative of departmental association shall be made only after
consultation with the department concerned. Appointments which carry academic rank and title
indicative of departmental association of persons who do not already have departmental
association shall be made only after concurrence of the department concerned.

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### 1013ARTICLE VI.THE CAMPUS UNIVERSITY LIBRARY

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**a.** The campus <u>A university</u> library is an academic unit serving the entire campus. Its collection includes all books, pamphlets, serials, maps, music scores, photographs, prints, manuscripts, micro-reproductions, and other materials purchased or acquired in any manner and preserved and used by it to support instruction and research. Such materials may include sound, electronic and magnetic recordings, motion picture films, slides, filmstrips, other appropriate audiovisual aids, and computer files.

1021

b. The <u>campus university</u> library shall be in the charge of the <u>campus</u> university
 librarian who, as the chief executive officer of the library, is responsible to the chancellor/vice
 president for its administration and service.

1025

1026 **c.** As specified in Article II, Section 3, the library shall be governed internally under 1027 bylaws established by its faculty. Except as otherwise stated in this Article, the library shall be 1028 governed by the same provisions as govern a college.

1029

d. With the approval of the chancellor/vice president, the campus <u>university</u> librarian
may establish branches on the campus when efficiency in reference work, circulation, cataloging,
ordering, and other matters of library service and administration, and the general welfare of the
campus <u>university</u>, college, school, department, or other unit will thereby be promoted.
Appointments to the academic staff of branch libraries established under this subsection and the
advancement of such staff will be recommended to the chancellor/vice president with the advice
of the executive officer(s) of the unit(s) served by such libraries.

1037

1038 The <del>campus</del> university librarian shall be appointed annually by the Board of e. 1039 Trustees on the recommendation of the chancellor/vice president with the concurrence of the 1040 Ppresident of the University. On the occasion of each such appointment, the chancellor/vice 1041 president shall seek the advice of the library committee of the campus university senate and of 1042 the library executive committee. The performance of the campus university librarian shall be 1043 evaluated at least once every five years in a manner to be determined by the faculty of the 1044 campus university library and the library committee of the campus university senate. As part of 1045 the evaluation, views shall be solicited from the library committee of the campus university 1046 senate, from other concerned faculty, and from the entire faculty of the campus university 1047 library.

1049 The library committee of the campus university senate shall advise the campus f. 1050 university librarian regarding the allocation of book funds and other policies of the campus 1051 university library. 1052

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#### **ARTICLE VII. SPECIALIZED UNITS** 1054

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#### **General Considerations** Section 1. 1056

1058 In addition to the eampus university units described in the previous Articles, there are 1059 special purpose educational and administrative units whose responsibilities and roles extend 1060 substantially beyond one campus university. The organization and mission of such units, 1061 including clearly defined lines of responsibility to University system or campus university 1062 officers, shall be specified in these *Statutes*, in *The General Rules Concerning University* 1063 **Organization and Procedure**, or in such other documents as shall be deemed appropriate by the 1064 president. These specialized units may include but need not be limited to organizations 1065 designated as bureaus, councils, departments, divisions, institutes, and services. The staffs of 1066 these units shall have campus university membership and status upon recommendation of the 1067 appropriate chancellor/vice president or chancellors/vice presidents subject to the Statutes and

1068 The General Rules governing the campus university operations.

#### Section 2. **University Press** 1069

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- 1071

The University Press is responsible for developing and conducting the University's я. 1072 a program of publishing books, monographs, and journals. 1073

1074 b. The director of the University Press shall be appointed annually by the Board of 1075 Trustees on the recommendation of the president. The director shall be the principal 1076 administrative officer of the press and shall be responsible to the president. 1077

1078 There shall be a University Press Board composed of the director of the press, the c. 1079 deans of the Graduate Colleges or their representatives, and six appointed faculty members. 1080 Appointments to the board shall be made by the president after consultation with the director of 1081 the University Press and the vice president for academic affairs. The University Press Board 1082 shall advise the director of the press regarding policies and administration. The chair shall be 1083 elected from among the faculty membership.

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#### Section 3. **Councils on Teacher Education** 1085

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1087 At each campus university engaged in teacher education, there shall be a Council a. on Teacher Education composed of the deans and directors of the respective colleges, schools, 1088 1089 and similar units at that <del>campus</del> university which offer curricula in the preparation of teachers for 1090 the elementary and secondary schools. The chair of the council shall be named by the campus 1091 chancellor/vice president.

- 1092 1093 b. The duties of the council are to formulate policies and programs of student 1094 selection, retention, guidance and preparation, and placement in elementary and secondary 1095 schools in conformity with educational policies established by the eampus senate. 1096 1097 At each campus university, the council is authorized to appoint area-ofc. 1098 specialization committees in each of the major teaching fields, committees on teacher placement, 1099 and such other committees as may be needed. These committees shall be composed of 1100 representatives from the College of Education or comparable <del>campus</del> program and from major 1101 subject-matter fields represented in any given curriculum. 1102 1103 The area-of-specialization committees shall be responsible for the improvement of their 1104 respective teacher-education curricula, counseling procedures in their areas, and other activities 1105 related thereto. Each committee shall recommend action to the Council on Teacher Education on 1106 at its campus university. 1107 1108 Students shall not be eligible for university approval of their status as prospective d. 1109 teachers unless they have elected a curriculum approved by the campus Council on Teacher 1110 Education at its university. 1111 1112 All curricula in teacher education shall be approved by the area-of-specialization e. 1113 committee, by the departments, by the respective colleges offering the curricula, by the 1114 appropriate Council on Teacher Education, and by the respective senates. 1115 **Agricultural Experiment Station** 1116 Section 4. 1117 1118 The Agricultural Experiment Station shall be administered by a director, who shall be 1119 appointed annually by the Board of Trustees on the recommendation of the president. 1120 1121 The Agricultural Experiment Station of the University of Illinois was established in 1888, 1122 under the provisions of acts of Congress, "to aid in acquiring and diffusing among the people of 1123 the United States useful and practical information in subjects connected with agriculture, and to 1124 promote scientific investigation and experiment respecting the principles and applications of
- 1125 agricultural science."
- 1126

## 1127Section 5.Cooperative Extension Service in Agriculture and Home1128Economics

1129

a. The Cooperative Extension Service in Agriculture and Home Economics shall be
administered by a director appointed annually by the Board of Trustees on the recommendation
of the president, concurred in by the Secretary of Agriculture.

b. Under the provisions of the Smith-Lever Act, approved by the President of the
United States on May 8, 1914, and of subsequent acts of Congress, and under the provisions of a
concurring joint resolution of the Illinois General Assembly, the University of Illinois is
designated the agency in Illinois responsible for cooperative agricultural and home economics
extension work.

1140This work shall consist of the giving of instruction and practical demonstrations in1141agriculture and home economics to persons not attending the University of Illinois and of1142imparting to such persons information on these subjects through field demonstrations,

- 1143 publications, and otherwise. This work shall be carried on in such a manner as may be mutually
- agreed upon by the Secretary of Agriculture and the University <u>of Illinois</u>.
- 1145 1146

## 1147 ARTICLE VIII. CHANGES IN ACADEMIC 1148 ORGANIZATION

- 1149 Section 1. Definitions
- 1150

a. Unit. For the purposes of Article VIII, a unit is a division of the University system
 to which academic appointments can be made and to which resources can be allocated, including
 departments or similar units, centers, institutes, schools, and colleges.

- **b.** *Tenure Home.* For the purposes of Article VIII, a tenure home is an academic unit (a) whose academic staff includes the group eligible to vote on promotion and tenure decisions within the unit; and (b) that provides the unit-specific standards that, in compliance with higherlevel standards, apply for promotion and tenure decisions for a member of the academic staff with the rank or title of professor, associate professor, or assistant professor who is tenured or receiving probationary credit toward tenure.
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### 1162Section 2.Appointment of Faculty to Units

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1164 A member of the academic staff with the rank or title of professor, associate professor, or 1165 assistant professor who is tenured or receiving probationary credit toward tenure must have a 1166 tenure home that has been approved through the procedures in Article VIII, Section 3a through 1167 3c, below. A faculty member may have a tenure home in more than one academic unit, but must 1168 have a tenure home in at least one academic unit. If any member of a proposed or existing unit's 1169 academic staff with the rank or title of professor, associate professor, or assistant professor who 1170 is tenured or receiving probationary credit toward tenure does not already have or will not 1171 otherwise have an appointment in one of the following types of units: 1172

- i. another department or similar academic unit that has been approved through
  these Article VIII procedures;
  an intermediate unit that is not divided into departments or similar units and
  - that has been approved through these Article VIII procedures; or

1178		
1179	iii.	a school or college that is not an intermediate unit, that is not further divided
1180		into academic departments or similar units and that has been approved
1181		through these Article VIII procedures; then formation of the proposed unit as,
1182		or conversion of the existing unit into, a unit that will provide a tenure home
1183		must be approved through the procedures in Article VIII, Section 3a through
1184		3c, below, as applicable.
1185		
1186		Any change in academic organization such as the termination, separation,
1187		transfer, merger, change in status (e.g., department to school), or renaming of
1188		an academic unit to which are made appointments of faculty with the rank or
1189		title of professor, associate professor, or assistant professor who are tenured
1190		or receiving probationary credit toward tenure must be approved through the
1191		procedures in Article VIII, Section 4, below.
1192		
1193		If all members of a proposed or existing unit's academic staff with the rank
1194		or title of professor, associate professor, or assistant professor who are
1195		tenured or receiving probationary credit toward tenure do have or will have
1196		an appointment in another unit that is described within items (i) through (iii),
1197		above, then formation or change of organization of the proposed or existing
1198		unit is not required to be approved through the procedures in Article VIII,
1199		Sections 3 and 4, below, but may be approved through the procedure in
1200		Section 5, below.
1201		

#### 1202 Section 3. Formation of New Units

1203

**a.** *Departments.* The formation of a new department or similar academic unit within a school or college may be proposed by the faculty or executive officer of that school or college. The president shall submit the proposal for the new unit together with the advice of the faculty of the school or college of each higher unit, taken and recorded by a vote of the faculty by secret written ballot in accordance with the bylaws of that unit, of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate chancellor/vice president, and of the University Senates Conference to the Board of Trustees for action.

1211

**b.** *Intermediate Units.* An academic unit of intermediate character, such as a school organized within a college, may be proposed by the faculty or the executive officer of the higher unit. The president shall submit the proposal for the intermediate unit together with the advice of the higher unit, taken and recorded by a vote of the faculty by secret written ballot in accordance with the bylaws of that unit, of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate chancellor/vice president, and of the University Senates Conference to the Board of Trustees for action.

1219

1220 **c.** Colleges and Independently Organized Campus University Units. A college or 1221 other independently organized campus university unit, such as a school, institute, center, or 1222 similar campus university unit not within a school or college, may be proposed by the 1223 appropriate senate or chancellor/vice president. The president shall submit the proposal for the 1224 unit together with the advice of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate chancellor/vice president, and of the University Senates Conference tothe Board of Trustees for action.

1227

1228 Units Organized at the University of Illinois System Level. Units organized at the d. 1229 university system level, such as institutes, councils, and divisions, may be formed for the 1230 development and operation of teaching, research, extension, and service programs which are 1231 statewide or intercampus in their scope and which cannot be developed under a campus single 1232 university administration. Such an organization may be proposed by a senate, a chancellor/vice 1233 president, the University Senates Conference, or the president. The president shall submit the 1234 proposal for the new organization together with the advice of the appropriate senates, taken and 1235 recorded by a vote of each such senate, of the appropriate chancellors/vice presidents, and of the 1236 University Senates Conference to the Board of Trustees for action.

1237

1238 *Campuses Universities.* The formation of a new campus university may be e. 1239 proposed by the president, by a senate, or by the University Senates Conference. The president 1240 shall submit the proposal for the new campus university together with the advice of the senates, 1241 taken and recorded by a vote of each senate, of the chancellors/vice presidents, and of the 1242 University Senates Conference to the Board of Trustees for action. If the proposal is adopted, the 1243 University Senates Conference shall serve as an advisory body to the president in developing 1244 procedures to implement the action of the board. 1245

#### 1246 Section 4. Changes in Existing Units

1247

1248 From time to time, circumstances will favor changes in academic organization such as the 1249 termination, separation, transfer, merger, change in status (e.g., department to school), or renaming of the academic units specified in Section 1. The procedures for the various changes 1250 1251 shall be the same as those specified for formation of such a unit, except that the proposal may 1252 originate in the unit(s) or at any higher administrative level. The advice of each unit involved 1253 shall be taken and recorded by vote of the faculty by secret written ballot in accordance with the 1254 bylaws of that unit. For transfer, merger, separation, and change in status (e.g., department to 1255 school), the procedures shall be those applicable to the type of unit which would result. Units 1256 affected may communicate with the Board of Trustees in accordance with Article XIII, Section 1257 4, of these Statutes. 1258

- 1259A change in departmental organization from a chair to a head, or from a head to a chair,1260may be accomplished only as specified in Article IV, Section 4 of these Statutes.
- 1261

#### 1262 Section 5. Academic Units Not Requiring Board of Trustees Approval

1263

1264 Any proposal for creation or change in organization (such as termination, separation, 1265 transfer, merger, or change in status) of any unit engaged in academic activities the creation of 1266 which does not require Board of Trustees approval shall be referred to the executive committee 1267 of the campus relevant university senate for its information and advice prior to approval by the 1268 appropriate administrator. If the unit is not organized within one campus <u>university</u> of the 1269 University system, the proposal shall be referred to the University Senates Conference rather 1270 than to a senate executive committee. Academic staff appointments in such units may not be

- 1271 made to ranks subject to the provisions of Article X, Section 1, governing appointments for an
- 1272 indefinite term as defined in Article IX, Section 3c.
- 1273

# 1274 ARTICLE IX. ACADEMIC AND ADMINISTRATIVE 1275 STAFFS

1276

#### 1277 Section 1. Criteria for Employment and Promotion

1278

1279 The basic criteria for employment and promotion of all university staff, whether or not 1280 subject to the act creating the University State Universities Civil Service System of Illinois, shall 1281 be appropriate qualifications for and performance of the specified duties. The principles of equal 1282 employment opportunity are a part of the general policy of the University System. All applicable 1283 federal and state laws related to employment and selection, as well as the University System Non-Discrimination Statement, must be followed when selecting candidates for employment and 1284 1285 when selecting employees for promotional opportunities. In addition, Uunless otherwise 1286 provided by law, employees candidates are to be selected and treated during employment for 1287 employment and employees are to be selected for promotional opportunities without regard to 1288 political affiliation, relationship by blood or marriage, age, sex, race, creed, national origin, 1289 handicap, or status as a disabled veteran or veteran of the Vietnam era. 1290

#### 1291 Section 2. Employment of Relatives

1292

1293 No individual shall initiate or <u>knowingly</u> participate in institutional decisions involving a 1294 direct benefit (initial employment, retention, promotion, salary, leave of absence, etc.) to a 1295 member of the individual's immediate family. "Immediate family" includes an individual's 1296 spouse, <u>civil union partner</u>, ancestors and descendants, all descendants of the individual's 1297 grandparents, and the spouse <u>or civil union partner</u>, of any of the foregoing. Each chancellor/vice 1298 president shall develop, for the approval of the president, <u>campus university</u> procedures to insure 1299 against such conflict of interest.

1300

# 1301Section 3.Appointments, Ranks, and Promotions of the Academic and1302Administrative Staff

- 1302
- a. All appointments, reappointments, and promotions of the <u>university</u> academic staff, as defined in Article IX, Section 4a, <u>and university administrative staff</u>, shall be made by the Board of Trustees on the recommendation of the chancellor/vice president concerned and the president. All appointments, reappointments, and promotions of the <u>academic or system-level</u> administrative staff shall be made by the Board of Trustees on the recommendation of the chancellor/vice president concerned if a campus-level officer is involved and the president.
- 1310

b. Appointments shall be made solely on the basis bases of the special fitness of the
 individual for the work demanded in the position and other policies and guidelines regarding
 recruitment, selection, and promotion.

1314 The following ranks, and only these ranks, of the academic staff as defined in 1315 c. 1316 Article IX, Section 4a, are subject to the provisions of Article X, Section 1: professor, associate 1317 professor, and assistant professor. Modifying terms such as "research," "adjunct," "clinical," and "visiting" Modifying terms such as "adjunct," "clinical," "research," "teaching," and "visiting" 1318 may be used in conjunction with these academic ranks (e.g., "research professor," "adjunct 1319 assistant professor," "clinical associate professor," "visiting professor"); but no appointment for 1320 1321 an indefinite term may be made in which a modifying term is used in the academic rank. 1322 Furthermore, an appointment in which a modifier is used in the title will not count toward 1323 completion of the probationary period, as provided in Article X, Section 1, unless specially 1324 recommended by the executive officer of the unit and approved by the dean and by the 1325 chancellor/vice president or an officer authorized to act for the chancellor/vice president. 1326 1327 Other academic ranks recognized within the academic staff are: (1) lecturer; 1328 (2) instructor; (3) teaching associate, research associate, and clinical associate; (4) teaching 1329 assistant, research assistant, and clinical assistant (1) instructor, senior instructor, lecturer, and senior lecturer, which may be modified by "adjunct," "clinical," or "visiting"; (2) clinical 1330 1331 associate, research associate (which may be modified by "postdoctoral"), and teaching associate, each of which may be modified by "adjunct" or "visiting"; (3) clinical assistant, research 1332 1333 assistant, teaching assistant, and other graduate assistants. 1334 1335 Appropriate academic rank, with the rights and privileges pertaining thereto, may be 1336 accorded members of the administrative staff. This means that in addition to being members of 1337 the administrative staff selected administrative officers may also hold appointments with 1338 academic titles chosen from the ranks listed in the two preceding paragraphs. 1339 1340 Special classes of positions within the academic staff may be established to meet 1341 specialized professional or technical needs, in accordance with Article IX, Section 4a. 1342 1343 d. Recommendation to positions on the academic staff shall ordinarily originate with 1344 the department or in groups not organized as departments with the officers in charge of the work 1345 concerned and shall be presented to the dean of the college for transmission with the dean's 1346 recommendation to the chancellor/vice president. Whenever the appointment or promotion of 1347 members of the academic staff is involved, Before making a recommendation on the 1348 appointment or promotion of members of the academic staff, the dean before making a 1349 recommendation shall consult the chair or the head of the department after confirming that intra-1350 departmental consultation procedures have been satisfied; if the college has no departments, the 1351 dean shall consult the executive committee of the college. If the appointment involves a person 1352 who may be expected to offer courses carrying graduate credit, the dean of the college shall 1353 consult the dean of the Graduate College, who shall have the right to make an independent 1354 recommendation to the chancellor/vice president, and to the president. 1355 1356 e. In determining appointments to, and salaries and promotion of the academic staff, 1357 special consideration shall be given to the following: (1) teaching ability and performance; 1358 (2) research ability and achievement; and (3) ability and performance in continuing education, 1359 public service, committee work, and special assignments designed to promote the quality and 1360 effectiveness of academic programs and services. 1361

1362 1363	Section 4.	<b>Principles Governing Employment of Academic and Administrative Staffs</b>
1364 1365 1366		ollowing principles shall govern the employment of the academic and e staffs of the University of Illinois System.
1367 1368 1369 1370 1371 1372	teaching, rese schools, instit	The academic staff which conducts the educational program shall consist of the arch, scientific, counseling, and extension staffs; deans and directors of colleges, utes, and similar <del>campus</del> <u>university</u> units; editors, librarians, and such other ne staff as are designated by the president and the chancellors/vice presidents.
1372 1373 1374 1375 1376 1377 1378	salaries fixed rank of assista such appointn	The members of the academic and administrative staffs shall be employed and by the Board of Trustees, except that members of the academic staff below the ant professor may be employed by the <del>president of the University who shall report</del> ments to the board <u>universities. These appointments shall be reported to the board</u> ent prior to the start of said appointments.
1378 1379 1380 1381 1382 1383	president of e	Minimum salaries for the various ranks shall be determined <u>by the chancellor/vice</u> <u>ach university and reviewed</u> by the Board of Trustees. The minimum for eleven ce shall be approximately two-ninths greater than the minimum for the academic
1385 1384 1385 1386		The terms of employment for all members of the academic and administrative staffs l explicitly in the contract of employment.
1380 1387 1388 1389 1390		The academic year shall consist of that period of the year so determined by the enate and approved by the appropriate chancellor/vice president, the president, and Frustees.
1391 1392	Section 5.	Services Rendered the University <u>of Illinois System</u>
1392 1393 1394 1395 1396 1397 1398	staffs of the U not naturally of	No person employed on a full-time basis on the instructional or administrative University <u>of Illinois System</u> shall be assigned any other <del>university</del> work which does come within the scope of that person's duties and for which additional is to be paid without the prior approval of the <u>president or</u> chancellor/vice
1399 1400 1401 1402 1403	incompatible outside activit obligations to	No person employed by the University <u>of Illinois System</u> shall have any interests with that person's obligations to the University <u>of Illinois System</u> . <u>If an employee's</u> ties pose real or potential conflicts of commitment or interest with the employee's the University of Illinois System, those activities must be disclosed, reviewed, and, managed under applicable system and university policies.
1404 1405 1406 1407 1408	University <u>of</u> instruction in	Full-time employees shall not receive compensation for services with the <u>Illinois System</u> in excess of a normal schedule except for a reasonable amount of continuing education and public service programs, or for the grading of special (outside regular course work) stipulated by the University an appropriate

1409 administrator, all to be done at a time that does not conflict with other university official duties. 1410 Exceptions may be made to this rule in special cases which are approved by the dean of the 1411 college of which the employee is a member provided that if such additional payments exceed a 1412 nominal amount the advance approval of the chancellor/vice president shall be secured. These 1413 exceptions shall be held to a minimum. 1414 1415 The responsibilities to the University of Illinois System of full-time members of the d. 1416 academic staff are fulfilled by the performance appropriate to rank and terms of appointment of 1417 teaching, scholarly research, continuing education and public service, and committee work and 1418 special assignments. Such staff members may carry on some outside professional or business

activities of an income-producing character so long as such activities are compatible and not in
conflict with University of Illinois System interests. The head executive officer of the department
of which the employee is a member should know and approve of these outside activities outside
the University.

1423

# 1424Section 6.Severe Sanctions Other Than Dismissal for Cause for1425Members of the Faculty

1426

**a.** Severe sanctions other than dismissal for cause may be imposed on a member of the faculty, as defined in Article II, Section 3a(1) of the *Statutes*, provided that procedures on <u>at</u> a <u>campus university</u> adopted by the <u>campus</u> chancellor/eampus vice president in consultation with that <u>campus university</u> senate are followed. In all cases, the chancellor/vice president or the chancellor/vice president's designee shall exercise the duties assigned to the <u>Pp</u>resident for academic staff who are members of <u>campus university</u> units, and in all cases the process to be followed will be that of the <u>campus on university in</u> which the unit resides.

- 1434
- 1435 1436

b.

Campus University procedures shall include, at a minimum,

1437 (1) A determination by the provost or equivalent <u>campus university</u> officer, in
 1438 consultation with a committee identified by the senate, that cause exists to initiate proceedings
 1439 that may result in the imposition of serious sanctions,
 1440

1441 Notice to the faculty member of the charges and initiation of the sanction (2) 1442 proceedings, 1443 1444 (3) Opportunity for a hearing before an elected committee specified by the 1445 senate, 1446 1447 (4) Provision that a recommendation by the elected committee against sanction 1448 will be final. 1449 1450 The opportunity for the faculty member to file an appeal with the (5) 1451 chancellor/vice president within 20 days following the provost's or equivalent officer's decision 1452 to impose sanctions, 1453 1454 An appeal process encompassing both substantive and procedural objections, (6) 1455 and

1456 1457 1458 1459 1460 1461 1462	<ul><li>(7) A process wherein the chancellor/vice president's decision on the merits of an appeal is final.</li><li>These campus university procedures are the exclusive process for determining whether severe sanctions other than dismissal for cause may be imposed.</li></ul>
1463 1464 1465 1466 1467 1468 1469	<b>c.</b> The <u>These campus university</u> procedures will be initiated only after discussions are held between the faculty member and appropriate administrative officers looking toward a mutual settlement. The initiation or pendency of proceedings under this Section 6 shall not be deemed to prevent or delay the University <u>of Illinois System</u> or any other person from pursuing any other remedy available to such person against the faculty member for conduct allegedly violating Section 6d below.
1470 1471 1472 1473	<b>d.</b> Adequate due cause for severe sanctions other than dismissal shall be restricted to actions clearly related to University <u>of Illinois System</u> activities and shall be limited to the following:
1474 1475 1476	(1) Engaging in professional misconduct in the performance of University <u>system</u> duties or academic activities,
1477 1478	(2) Neglecting or refusing to perform reasonable assigned academic duties,
1478 1479 1480 1481	(3) Violating senate-approved <del>campus</del> <u>university</u> or <u>University</u> <u>system</u> regulations or policies related to conduct of academic duties,
1482 1483 1484 1485 1486	(4) Acting outside the appropriate exercise of University <u>of Illinois System</u> responsibilities so as willfully to physically harm, threaten physical harm to, harass or intimidate a visitor or a member of the <u>University system</u> community with the effect of interfering with that person's performance of <u>University system</u> duties or academic activities,
1487 1488 1489 1490	(5) Willfully damaging, destroying or misappropriating property owned by the University <u>of Illinois System</u> or any property used in connection with a <u>University system</u> function or approved activity, or
1491 1492 1493	(6) Conviction in a court of law for a felony that is clearly related to the performance of University <u>of Illinois System</u> duties or academic activities.
1494 1495 1496 1497 1498	Findings of fact made in prior proceedings under policies established by the president with the advice of the senates and University Senates Conference under procedures described in Article XIII, Section 8 of the <i>Statutes</i> shall be presumed to have been established subject to rebuttal on grounds <i>inter alia</i> of the thoroughness and fairness of the proceeding giving rise to them.
1499 1500 1501 1502 1503	e. When misconduct is determined to have occurred, a severe sanction other than dismissal consists of suspension with or without salary (full or partial) for a period not to exceed one-half of the individual's normal appointment period. During the suspension period, health and retirement benefits shall be maintained.

1505	Section 7. <u>Sabbatical Leaves and Unpaid</u> Leaves of Absence for
1506	Members of the Faculty
1507	
1508	<b>a.</b> On the recommendation of the head or chair of a department with the concurrence
1509	of the dean of the college or on recommendation of the dean or director of an independent
1510	campus <u>university</u> unit and subject to approval by the chancellor/vice president, the president,
1511	and the Board of Trustees a member of the faculty who has the rank of professor, associate
1512	professor, or assistant professor and who has served the University of Illinois System for the
1513	periods indicated below on full-time appointment as an assistant professor or in higher rank since
1514	the faculty member's original appointment or since the termination of that faculty member's last
1515	leave on salary is eligible to apply for and may be granted a sabbatical leave of absence with pay
1516	for the purpose of study, research, or other pursuit, the object of which is to increase the faculty
1517	member's usefulness to the University system. The following options are available:
1518	
1519	(1) After completion of eight appointment years of full-time service:
1520	
1521	Two semesters at 2/3 salary
1522	
1523	One semester at full salary
1524 1525	(2) After completion of six appointment years of full-time service:
1525	(2) After completion of six appointment years of full-time service:
1520	Two semesters at ½ salary
1527	Or
1520	One semester at full salary
1530	
1531	(3) After completion of three or four appointment years of full-time service, in
1532	cases where the interest of the department and the University of Illinois System would clearly be
1533	served thereby, and provided that granting of leave does not involve expense to the University
1534	system in excess of the portion of salary which is released in consequence of taking such leave,
1535	the following options are available:
1536	
1537	After three years: One semester at ½ salary
1538	
1539	After four years: One semester at 2/3 salary
1540	(A) $\Gamma$ 1( (372) (11 (1) (1) (1 (1) (1) (1) (1) (1) (1)
1541 1542	(4) Faculty on "Y" (11 month) appointments may be granted sabbatical leaves,
1542	subject to the other general conditions of this section as follows: After completion of nine years
1543 1544	of full-time service, three-fourths of an appointment year at full pay; after completion of eight years of full-time service, one appointment year at two-thirds pay or two-thirds of an
1544	appointment year at full pay; after completion of six years of full-time service, one appointment
1546	year at half pay or one-half appointment year at full pay; after completion of four years, one-half
1547	appointment year at two-thirds pay; after completion of three years, one-half appointment year at
1548	half pay or one-fourth appointment year at full pay.
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**b.** In recommending a leave with pay according to any of the options provided above, it shall be understood by all recommending officers concerned that the department in which the applicant is teaching or working undertakes, so far as is practicable, to carry on during the applicant's absence without increase in the departmental budget such part of the applicant's work as the interests of the department and of the University rest of the system require to be continued without interruption during the period of absence.

1556

**c.** Service credit for leave of absence with pay is not cumulative unless otherwise provided for in special cases. Each person who has been on leave of absence shall on the termination of the leave make a report through the usual official channels of communication to the chancellor/vice president concerning the nature of the studies, research, or other work undertaken during the period of absence.

1562

**d.** A member of the faculty to whom any such leave of absence has been granted shall agree to return to the University system on the expiration of the leave and to remain in its service for at least one year thereafter; and the University system, on its part, shall agree to retain the faculty member in its service for the period of one year after the faculty member's return.

1568 e. Leaves of absence granted in accordance with the foregoing terms and conditions, 1569 with the privileges pertaining thereto, are given to members of the faculty primarily for the 1570 purpose of enabling them to acquire additional knowledge and competency in their respective 1571 fields. No one to whom a leave of absence with pay has been granted shall be permitted while on 1572 such leave to accept remunerative employment or engage in professional practice or work for 1573 which pecuniary compensation is received. This prohibition, however, shall not be construed to 1574 forbid a faculty member while on leave from giving a limited number of lectures or doing a 1575 limited amount of work. But, in such cases, the approval of the chancellor/vice president to the 1576 giving of the lectures or the doing of other work shall be required. Nor shall the prohibition be 1577 interpreted to forbid the acceptance by a faculty member, while on leave, of a scholarship or 1578 fellowship carrying a stipend for the purpose of study, research, or scientific investigation or the 1579 acceptance of a grant of money made for such purposes, provided that the acceptance of the 1580 grant does not impose on the recipient duties and obligations the performance of which would be 1581 incompatible with the pursuit of the general purpose for which leaves of absence are granted. 1582

1583 **f.** The president shall establish regulations and procedures necessary for the 1584 administration of these provisions and is authorized to make appropriate adjustments in the terms 1585 of leave with pay to ensure equitable benefits for members of the faculty in exceptional cases 1586 where special consideration is warranted.

1587

1588 Leaves of absence without pay. On the recommendation of the head or chair of a g. 1589 department with the concurrence of the dean of the college or on the recommendation of the dean 1590 or director of an independent <del>campus</del> university unit, a member of the faculty may be granted a 1591 leave of absence without pay by the chancellor/vice president for a period of one year or less. 1592 Such a leave may be renewed in special circumstances ordinarily for not more than one year. As 1593 recommended and agreed upon in advance, time spent on a leave of absence without pay under 1594 circumstances which allow for the pursuit of academic activities ordinarily counts toward the 1595 probationary period of a faculty member on definite tenure, while time spent on a leave of 1596 absence without pay under circumstances which do not allow for the pursuit of academic 1597 activities does not ordinarily count toward the probationary period of a faculty member on 1598 definite tenure. As recommended and agreed upon in advance, time spent on a leave of absence

1599 without pay under circumstances which do not provide service to this the University system does

- 1600 not ordinarily count in establishing eligibility for a sabbatical leave with pay.
- 1601

# 1602Section 8.Graduate Work of Academic Staff Members

1603

1604 No person shall be admitted to candidacy for an advanced degree in a department or 1605 division of the <u>University system</u> who holds an appointment as professor, associate professor, or 1606 assistant professor in that department or division. -Likewise, no person while engaged in 1607 graduate study shall be appointed to the rank of assistant professor or higher in the department or 1608 division of that graduate study.

1609

A person in or accepting the rank of assistant professor or higher on <u>at</u> a campus of <u>university within</u> the University <u>of Illinois System</u> may continue in or be admitted to advanced degree candidacy in a department or unit other than the person's appointing department or unit upon the special approval of the executive officer of each department or unit involved and the executive committee of the Graduate College if one exists on at the <del>campus</del> university.

# 1615 Section 9. Privileges of Retired Members of the Academic Staff

1616

**a.** A retired staff member who is provided with research assistance shall at the end of each academic year report to the chancellor/vice president, in at least general terms, on the work accomplished during the year. In no case may a research assistant be provided to a retired staff member for a longer period than one year at a time and such assistant may be continued only if the annual report of work shows progress or promise.

- b. With the approval of the department head or chair and of the dean of the Graduate
  College and of the chancellor/vice president, a retired faculty member may offer conferences
  with graduate students if such retiree had offered similarly related graduate courses before
  retirement.
- 1627

1628 c. Retired faculty members may participate in meetings of their college or school
 1629 faculties, if provided for in the bylaws of the unit, but shall have no vote.

# 1630 Section 10. Dismissal of Administrative Officers

1631

1632 In the exercise of its authority to dismiss or request the resignation of a. 1633 administrative officers from their administrative positions, the Board of Trustees may take such 1634 action in respect to such officer prior to the expiration of the term for which the individual was 1635 appointed only after presentation by the board to the officer affected of a statement of the reasons 1636 accompanied by the facts in support thereof upon which the proposed action is based, together 1637 with notice served by registered mail of the time and place of the hearing thereon which shall be 1638 not less than 30 days after the date of notice. A copy of the statement and notice shall be sent by 1639 registered mail to each member of the Board of Trustees at least 30 days prior to the hearing. 1640

b. The officer shall have the right to appear at the hearing, with counsel if desired, to
comment on the reasons and to present evidence. The board shall not be bound by formal or
technical rules of evidence and its decision shall be final.

1644
1645 c. In designating the effective date of dismissal or requested resignation, the board
1646 shall give due consideration to the time reasonably required for the adjustment of the officer's
1647 personal affairs.

1648

#### Section 11. **Employment of Academic Professional Staff** 1649 1650 1651 Employment policies applicable to an academic professional employee at the a. 1652 university University of Illinois System level shall be those of the campus university at which the employee's principal office is located. If policies differ at each university, the system-level 1653 1654 human resources office shall adopt one policy, for consistency, to apply to all system-level 1655 academic professional employees. 1656 1657 Notice of nonreappointment to the full-time academic professional staff, as defined b. 1658 in Article II, Section 5, shall be given as follows: 1659 1660 1. Except as provided in 2 and 3 below, written notice of nonreappointment 1661 shall be given by the Board of Trustees to academic professional employees in accordance 1662 with the following schedule: 1663 . ... . . .

Length of Full-Time Service to the University (in full appointment years completed)	Minimum Notice of Nonreappointment 1665
Less than 4 years	6 Months 1666
4 years or over	12 Months 1667

1668

16692. Written notice of nonreappointment shall be given by the Board of1670Trustees to an academic professional employee on an appointment which notes that it is1671subject to receipt of funds in accordance with the following schedule.÷

1672

		1673
	Length of Full-Time Service to the University (in full appointment years completed)	Minimum Notice of Nonreappointment
1675	Less than 4 years	2 Months
1676	4 years or over	6 Months
1677	Plus 1 additional month for each additional full appoint	tment years of
1678	service to a maximum of 12 months' notice	2
1679	10 years	12 Months
1680		·

16813. Written notice of nonreappointment shall be given by the Board of1682Trustees to an academic professional employee who is the director of intercollegiate

1683athletics or a coach of an intercollegiate athletic team in accordance with the following1684schedule:

Length of Full-Time Service to the University (in full appointment years completed)	Minimum N Nonreappoir	
Less than 4 years	3 Months	1688
4 years or over	6 Months	1689
		1690

 4. In cases where the time remaining in the appointment year is less than the required minimum notice period, the notice of nonreappointment shall be accompanied by an offer from the Board of Trustees of a terminal contract for an additional appointment which will extend the current appointment through the period of minimum notice, *viz.*, 2 months, 6 months or 7-12 months.

16985. Computation of length of service will be on the basis of continuous1699employment in campus university academic administrative and professional positions (or1700similar service at the University system level for employees of the university system1701administration). On a case-by-case basis, credit may be given for all or part of their relevant1702experience in any other University of Illinois System positions.

17046. Excepted from the above provisions are the following administrative1705officers: the President of the University; chancellors/vice presidents, other vice presidents,1706provosts or equivalent officers, and vice chancellors; the officers of the Board of Trustees1707who are University of Illinois System employees; other university system officers; and the1708deans, directors, heads, and chairs of academic units. Academic professional staff whose1709title includes "visiting," "acting," "interim," or "adjunct" are also excepted from the above1710provisions.

# 1712Section 12.Dismissal of Academic Staff with Multi-Year Appointments1713Under Article X, Section 1(a), Paragraphs (6) and (7)

a. Members of the academic staff with multi-year appointments, as defined under Article X, Section 1(a), Paragraphs (6) and (7), of the *Statutes*, may be dismissed for cause prior to the conclusion of the multi-year appointment in accordance with <del>campus</del> the procedures of the relevant university, which shall be adopted by each chancellor/vice president in consultation with the applicable <del>campus</del> senate. In all cases, the chancellor/vice president or the chancellor/vice president's designee shall exercise the duties assigned to the president for academic staff who are

1721 members of <u>campus university</u> units, and in all cases the process to be followed will be that of 1722 the <u>campus</u> university <del>on</del> in which the unit resides.

1724	<b>b.</b> Campus <u>University</u> procedures shall include, at a minimum, notice and opportunity
1725	for a hearing before the campus university provost or equivalent officers or the provost's or
1726	equivalent officer's designee.
1727	
1728	<b>c.</b> Adequate cause for dismissal shall be limited to the following:
1729	
1730	(1) Failing to perform contractual duties or related activities in a professional
1731	manner, whether from incompetence, neglect or willful refusal;
1732	
1733	(2) Failing to follow all applicable <del>campus or University <u>university</u> or system</del>
1734	regulations or policies, and all applicable laws related to the conduct of contractual duties;
1735	
1736	(3) Acting outside the appropriate exercise of University system responsibilities
1737	so as to willfully cause or threaten to cause physical harm to, harass or intimidate a visitor or a
1738	member of the University of Illinois System community;
1739	
1740	(4) Willfully or negligently damaging, destroying or misappropriating property
1741	owned by the University of Illinois System or any property used in connection with a University
1742	system function or approved activity; or
1743	
1744	(5) Being convicted of or pleading guilty to a felony.
1745	

# 1746 ARTICLE X. ACADEMIC FREEDOM AND TENURE 1747

## 1748Section 1.Tenure of Academic Staff

1749

1750 Except under unusual circumstances evidenced by a special written agreement a. 1751 approved by the Ppresident of the University of Illinois System and the appointee, the tenure 1752 status for the academic ranks of professor, associate professor, and assistant professor shall be as 1753 provided in this section. The parts of Article X, Sections 1a and 1b, hereof relating to the 1754 probationary period or indefinite tenure do not apply to academic ranks other than those 1755 mentioned in the preceding sentence; nor to appointments at any rank which involve no salary or 1756 obligation to render services; nor to appointments for fifty percent (50%) or less of full-time 1757 service at ranks other than professor or associate professor; nor to appointments for less than 1758 seventy-five percent (75%) of full-time service during any period when the appointee is a 1759 candidate for a degree at this University from any university in the University of Illinois System. 1760

1761 In the case of academic staff positions authorized in Article IX, Sections 3c and 4a other 1762 than appointments at the rank of professor, associate professor, assistant professor, dean, 1763 director, department head, and department chair, appointments shall be for not longer than the 1764 terms specified in this Section. Contracts shall be renewable at the discretion of the hiring unit. 1765 Except as provided in Sections 7 and 8, below, notice of nonreappointment is not required. 1766 Dismissal prior to the end of the contract term shall be governed by Article IX, Section 12.

Each chancellor/vice president shall, with the advice and consent of the local campus
 appropriate senate, develop implementing procedures for multi-year contract appointments

1770 governed by this Section. Such implementing procedures shall include, at a minimum, (i) a binding ceiling, on a campus university-wide basis, on the proportion of multi-year contract 1771 1772 appointments to the sum of multi-year contract appointments and appointments that are tenured 1773 or earning probationary credit toward tenure; (ii) assignment of oversight responsibility to an the 1774 appropriate <del>campus</del> senate committee; and (iii) the procedures for dismissal required under 1775 Article IX, Section 12(b), above. 1776 1777 (1) An appointment as professor or associate professor shall be for an indefinite 1778 term except that first appointments or temporary appointments may be made for shorter periods. 1779 An appointment at either of these ranks for fifty percent (50%) or less of full-time service shall 1780 be for an indefinite term at the specified percentage except that such first appointments or 1781 temporary appointments may be for definite terms. 1782 1783 During the probationary period defined in Article X, Section 1b (1), an (2) 1784 appointment as assistant professor shall be for not more than two years. 1785 1786 An appointment for an indefinite term may require full-time service or some (3) 1787 percentage of full-time service by the appointee. Completion of a probationary period shall 1788 entitle the appointee to indefinite tenure status at the lowest percentage (more than 50%) of full-1789 time service counted toward completion of the probationary period. An appointee for an 1790 indefinite term and the Board of Trustees may at any time agree in writing to increase or to 1791 decrease the percentage of full-time service to be required of the appointee and the indefinite 1792 tenure status shall then apply to the new percentage of full-time service. An agreement that a 1793 full-time appointee for an indefinite term shall thereafter serve on a part-time basis shall specify 1794 either (a) that the appointment for an indefinite term will thereafter relate solely to service on the 1795 agreed part-time basis; or (b) that the appointee will return to full-time service for an indefinite 1796 term on a specified date. 1797 1798 These agreements are subject to modification by written consent of the appointee and the 1799 Board of Trustees. An appointee who has previously been on indefinite tenure status at this 1800 University within the University of Illinois System shall not be required to serve a probationary 1801 period in order to regain that status. 1802 1803 This subsection, 1a (3), does not apply to sabbatical leaves of absence or to leaves of 1804 absence without pay. 1805 1806 An appointment with the rank of clinical assistant, research assistant, or (4) 1807 teaching assistant shall be for not longer than one year and notice of nonreappointment is not 1808 required. Appointments at these ranks may be conditional upon the availability of funds if so 1809 specified in the notice of appointment. 1810 1811 An appointment which includes in the title the term "visiting," as authorized (5) 1812 in the first paragraph of Article IX, Section 3c, shall be for not longer than one year. 1813 1814 An appointment which includes in the title the term "adjunct," or "clinical," (6) or "research," "teaching," and "visiting" modifying the term "professor", "associate professor" 1815 1816 or "assistant professor," as authorized in the first paragraph of Article IX, Section 3c, or an 1817 appointment with the rank of lecturer or senior lecturer, or instructor, clinical instructor, or senior 1818 instructor, shall be for not longer than three years.

1819 1820 (7) An appointment with the rank of teaching associate, research associate, 1821 clinical associate, or which includes in the title the term "research" modifying the term 1822 "professor", "associate professor" or "assistant professor," as authorized in the first paragraph of 1823 Article IX, Section 3c, shall be for not longer than three years. The duration of the appointment 1824 shall be specified in the Notification of Appointment. Where no duration is specified, 1825 appointment shall be for one year. Written notice of nonreappointment is required in the case of 1826 full-time appointments at these ranks other than appointments that are for no more than one year, 1827 and are nonsalaried, part-time, or conditional upon the receipt of nonappropriated funds (as 1828 specified in the nNotice of aAppointment). The notice need not be accompanied by an offer of a 1829 terminal contract if the notice is given not later than six months before the end of an annual 1830 appointment or by March 1 in the case of an academic-year appointment. If notice of 1831 nonreappointment in such cases is given later than six months before the end of an annual 1832 appointment or after March 1 in the case of an academic-year appointment, it shall be 1833 accompanied by an offer from the Board of Trustees of a terminal contract for one additional 1834 year of service. In the case of multi-year contracts, notice, as described above, is required only in 1835 the final year of the contract. If no notice is given before the end of an appointment that 1836 exceeded one year, the renewal appointment shall have a duration of one year. 1837 1838 An appointment at the rank of any of the other special classes of academic (8) 1839 staff authorized under Article IX, Sections 3c and 4a, shall be for not longer than one year and 1840 shall be governed by the conditions prescribed in the preceding subparagraph, 1a. 1841 1842 b. Upon the completion of a probationary period as hereafter defined, any 1843 reappointment shall be for an indefinite term, subject to the following: 1844 1845 An appointee receiving a first contract for more than fifty percent (50%) of (1) 1846 full-time service at this University within the University of Illinois System as assistant professor 1847 enters a probationary period not to exceed seven academic years of service except when, by 1848 special written agreement between the appointee, the unit administrator and the chancellor/vice 1849 president, the appointee is granted a one-year interruption of the probationary period before the 1850 year in which a decision on the appointment to indefinite tenure is expected to be made. 1851 Ordinarily no more than two such interruptions will be granted. Prior academic service at other 1852 academic (or equivalent) institutions may be counted up to a maximum of three years toward the 1853 fulfillment of the probationary period. The amount of any such service counted may be 1854 negotiated as may other terms of the appointment and shall be stated in the first appointment 1855 contract, as provided for all contracts for definite terms in subparagraph 1b(5) below. An initial 1856 appointment that begins after the eighth week of the academic year ordinarily does not count 1857 toward the probationary period of a faculty member on definite tenure nor does it ordinarily 1858 count as service in establishing eligibility for a sabbatical leave with pay, unless recommended 1859 and agreed upon in advance. 1860 1861 (2) No appointment at the rank of assistant professor shall be for an 1862 indefinite term. 1863 1864 An appointee for a definite term shall be given in the sixth year of the (3)1865 probationary period either written notice offering appointment for an indefinite term or written 1866 notice of nonreappointment no later than August 15 at all three campuses universities. 1867

1868 At any time except during the last year of the probationary period, an (4) 1869 assistant professor on a definite-term appointment may be given written notice of 1870 nonreappointment. Except in the case of an assistant professor who is in the first year of 1871 academic service at this University within the University of Illinois System, (a) written notice of 1872 nonreappointment shall be given not less than twelve months before the expiration of the 1873 appointment; or (b) if given less than twelve months before the expiration of the appointment, 1874 written notice of nonreappointment shall be accompanied by an offer from the Board of Trustees 1875 of a terminal contract for one additional year of academic service. In the case of an assistant 1876 professor on a definite-term appointment who is in the first year of academic service at this 1877 University within the University of Illinois System, written notice of nonreappointment shall be 1878 given not later than March 1 and need not be accompanied by an offer of a terminal contract; if 1879 written notice of nonreappointment is given after March 1, it shall be accompanied by an offer 1880 from the Board of Trustees of a terminal contract for one additional year of service. 1881 1882 The total amount of service counted toward completion of the probationary (5) 1883 period, including both service at other institutions and prior service at this University within the

1884 University of Illinois System, shall be stated in every contract for academic service for a definite 1885 term. In the event that an appointee for a definite term is not given notice of appointment for an 1886 indefinite term or notice of nonreappointment as required by subparagraph 1b (3) above, but 1887 instead is given notice of reappointment for a definite term beginning after or extending beyond 1888 the expiration of the probationary period, such reappointment shall be for a term extending to the 1889 end of the academic year following the academic year in which either (a) the Board of Trustees 1890 gives the appointee written notice of nonreappointment as specified above in subparagraph 1891 1b(4), or (b) the appointee gives written notice to the dean or department head that the appointee 1892 is about to complete or has completed the probationary period and either is or will be entitled to 1893 have any reappointment be for an indefinite term.

1894

(6) An appointment for a definite term does not carry any guarantee or
implication that the Board of Trustees will renew the appointment even though the duties of the
appointee may have been discharged satisfactorily. An appointment for a definite term, if
accepted, must be accepted with this stipulation.

1899

1900 c. Tenure may be terminated by (1) honorable retirement; (2) acceptance of
1901 resignation; (3) dismissal for due cause.

1902

1903 d. Due cause for dismissal shall be deemed to exist only if (1) a faculty member has 1904 been grossly neglectful of or grossly inefficient in the performance of the faculty member's 1905 university duties and functions within the University of Illinois System; or (2) with all due regard for the freedoms and protections provided for in Article X, Section 2, of these Statutes, a faculty 1906 1907 member's performance of university duties and functions or extramural conduct is found to 1908 demonstrate clearly and convincingly that the faculty member can no longer be relied upon to 1909 perform those university duties and functions within the University of Illinois System in a 1910 manner consonant with professional standards of competence and responsibility; or (3) a faculty 1911 member has while employed by within the University of Illinois System illegally advocated the 1912 overthrow of our constitutional form of government by force or violence.

1913

1914 **e.** Proceedings seeking the dismissal before the expiration of the term of appointment 1915 of an appointee to the academic staff who is on definite tenure or of an appointee to the academic 1916 staff who is on indefinite tenure shall comply with the procedures described in the following

- 1917 provisions of this section:
- 1918

1919 Charges. When it shall appear to the president that cause for the dismissal of (1) 1920 an appointee may exist, the president shall consult with the Faculty Advisory Committee. The 1921 president, after such consultation, shall determine whether dismissal proceedings should be 1922 instituted. Charges looking to dismissal shall be preferred by statement in writing by the 1923 president or the president's designee and shall be filed with the clerk or secretary of the relevant 1924 university senate within thirty days after the consultation with the Faculty Advisory Committee. 1925 The statement shall be sufficiently specific reasonably to inform the appointee of the nature of 1926 the charges and enable the appointee to present a defense to them. 1927

1928 (2) Service. The clerk or secretary of the senate shall cause a copy of the
1929 statement of the charges and a copy of Article X, Sections 1 and 2, of the Statutes to be delivered
1930 to the appointee personally or mailed to the appointee's last known post office address by
1931 registered mail within five days after they have been filed with the clerk or secretary of the
1932 senate.

1934 *Request for Hearing.* Within fifteen days after such service of a copy of the (3) 1935 statement of charges, the appointee may file with the clerk or secretary of the senate a request for 1936 a hearing before the Committee on Academic Freedom and Tenure of the appropriate campus 1937 university; and within ten days after filing such request, the appointee shall file with the clerk or 1938 secretary of the senate a detailed written answer to the statement of grounds for dismissal. The 1939 clerk or secretary of the senate shall promptly transmit the statement of charges, the answer 1940 thereto, and the request for a hearing to the chair of the Committee on Academic Freedom and 1941 Tenure and copies of the answer and request for a hearing to the president.

1942

1943 (4) *Notice of Hearing*. Notice of the time and place of the hearing before the 1944 Committee on Academic Freedom and Tenure, which hearing shall be not less than twenty days 1945 after the filing of the appointee's request, shall be delivered on the same date to the appointee 1946 and the president, either personally or by registered mail. The date of the hearing shall be not less 1947 than fifteen days from the date of such delivery or of such mailing of the notice of hearing. 1948

1949 *Hearing*. At the time and place fixed, the Committee on Academic Freedom (5) 1950 and Tenure shall hold a closed hearing on the charges. No member of that committee shall sit in 1951 a case that involves a colleague of that committee member's department, school, institute, or 1952 division, whichever represents the smallest administrative unit, nor shall a member sit in a case if 1953 the member has previously acted on another committee while it considered the pending matter. A 1954 majority of the members of the committee shall constitute a quorum for the conduct of the 1955 hearing and the chair of the committee may appoint another member of the committee to preside 1956 over the hearing. If vacancies occur, as many members as are necessary to constitute a quorum 1957 shall be appointed in accordance with the bylaws of the appropriate senate. Except as 1958 hereinbefore or hereinafter provided, the hearing shall be conducted according to such rules as 1959 the committee may from time to time establish. The committee shall not be bound by technical 1960 rules of evidence, but all findings, conclusions, and recommendations of the committee shall be 1961 supported by and be in accord with substantial evidence. The appointee shall be entitled to be 1962 present at all sessions of the committee when evidence is being received and to be accompanied 1963 by an adviser of the appointee's choice who may act as counsel. Likewise, the president or the 1964 president's designee, together with counsel if the president desires counsel, shall be entitled to be

1965 present at all sessions of the committee when evidence is being received. Each party shall have 1966 the right within reasonable limits to question witnesses and, when all the evidence has been 1967 received, to make an argument in support of its position, either in person or by counsel. A full 1968 stenographic transcript shall be made of the hearing unless both parties agree to the making of a 1969 record in a briefer form.

1970

1971 Findings, Conclusions, and Recommendations. Following the conclusion of (6) 1972 the hearing, the committee shall promptly make its explicit findings of fact on each charge, its 1973 conclusions, and its recommendations. Reasonable opportunity shall be given to each party to 1974 file a written statement setting forth objections to these findings, conclusions, and 1975 recommendations and setting forth the grounds for such objections. A copy of one party's 1976 objections shall be given to the other party. The originals of the findings, conclusions, and 1977 recommendations, and of the hearing transcript shall be forwarded by the committee to the 1978 president and copies shall be promptly transmitted by the committee to the appointee. 1979

1980 If ultimately the appointee requests a hearing before the Board of Trustees, the originals 1981 or copies of the statement of charges filed by the president or the president's designee with the 1982 clerk or secretary of the senate, the request for a hearing, the answer to the statement of charges, 1983 the notice of the time and place of hearing, the transcript or briefer record of the hearing, any 1984 exhibits received in evidence, the findings, conclusions, and recommendations of the committee, 1985 and any objections to such findings, conclusions, and recommendations shall constitute the 1986 record before the Committee on Academic Freedom and Tenure to be submitted to the board.] 1987 The record shall be available to the Board of Trustees, to counsel for the appointee, and to 1988 counsel for the University of Illinois System, but shall not be available to other persons prior to 1989 the hearing before the board. If the committee recommends that charges be dropped and the 1990 president concurs, the case shall be considered closed.

1991

1992 Hearing by Board of Trustees. Within thirty days after transmittal of the (7) 1993 findings, conclusions, and recommendations of the Committee on Academic Freedom and 1994 Tenure, or if the appointee filed no request for a hearing before that committee within fifteen 1995 days after the expiration of the period specified in subparagraph 1e(3) for the filing of such a 1996 request, the president may cause the charges to be filed with the Secretary of the Board of 1997 Trustees along with the findings, conclusions, and recommendations, if any, of the Committee on 1998 Academic Freedom and Tenure and the record of the hearing before the committee, if one was 1999 held. Notice of such filing of charges shall be delivered to the appointee personally or shall be 2000 mailed to the appointee by the Secretary of the Board of Trustees by registered mail within five 2001 days after such filing. Within ten days after such delivery or mailing of notice of the filing of the 2002 charges with the Secretary of the Board of Trustees, the appointee may file with the Secretary of 2003 the board a written request for a hearing before the Board of Trustees. Notice of the time and 2004 place of the hearing which hearing shall be not less than twenty days after the date of the filing 2005 of the appointee's request shall be delivered to the appointee personally or mailed to the 2006 appointee by registered mail. The date of the hearing shall be not less than fifteen days from the 2007 date of such delivery or mailing of the notice of hearing to the appointee. The appointee shall 2008 have the right to appear at the hearing, with counsel if desired, to reply to the charges and to 2009 present evidence. Counsel for the University of Illinois System shall represent the university 2010 system administration at the hearing and shall have the right to present evidence in support of the 2011 charges. The board shall not be bound by technical rules of evidence in hearing and deciding the 2012 case. 2013

The board will give due consideration to the findings, conclusions, and recommendations 2014 2015 of the Committee on Academic Freedom and Tenure, and the remainder of the record relevant to 2016 the charges before said committee, and in all cases where a report was made by the committee 2017 will invite a member of the committee designated by its chair to attend the hearing and make a 2018 statement before the board.

2019

2024

2020 If the board concludes that the appointee should be dismissed or asked to resign, the 2021 effective date of such dismissal or resignation shall not be less than one year from the date of the 2022 board's decision unless the board, in its discretion, determines that an earlier effective date is 2023 justified by the gravity of the appointee's conduct in question.

2025 Reassignment of Duties. Under exceptional circumstances and when such (8) 2026 action is clearly necessary and justified, the president may direct that a faculty member be 2027 relieved of some or all of the faculty member's university duties and functions within the 2028 University of Illinois System and reassigned to others without prejudice and without loss of 2029 compensation pending the final decision of the case, subject to the following provisions: (a) the 2030 president may reassign duties before the filing of any charges only after giving notice to the chair 2031 of the Faculty Advisory Committee of the appropriate university, or in the absence of the chair, 2032 from the University to some member of the Faculty Advisory Committee, that the president 2033 believes that cause for dismissal may exist; (b) if the president reassigns duties after so giving 2034 notice to the chair or some member of the Faculty Advisory Committee, such reassignment shall 2035 terminate within thirty days after that committee has made its recommendations to the president 2036 unless the president initiates dismissal proceedings by the filing of charges for dismissal within 2037 that thirty-day period; and (c) if the president initiates dismissal proceedings by filing charges for 2038 dismissal, the president may reassign duties or extend a previous reassignment of duties until the 2039 termination of those proceedings or until the effective day of dismissal if the proceedings should 2040 result in dismissal.

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Publicity. So far as possible public statements about a case under (9) 2043 consideration should be avoided until completion of the proceedings.

2044

#### Section 2. 2045 Academic Freedom

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2047 It is the policy of the University of Illinois System to maintain and encourage full a. 2048 freedom within the law of inquiry, discourse, teaching, research, and publication and to protect 2049 any member of the academic staff against influences, from within or without the University of 2050 Illinois System, which would restrict the member's exercise of these freedoms in the member's 2051 area of scholarly interest. The right to the protection of the University of Illinois System shall 2052 not, however, include any right to the services of the university University of Illinois System 2053 counsel or the counsel's assistants in any governmental or judicial proceedings in which the 2054 academic freedom of the staff member may be in issue.

2055

2056 As a citizen, a faculty member may exercise the same freedoms as other citizens b. 2057 without institutional censorship or discipline. A faculty member should be mindful, however, 2058 that accuracy, forthrightness, and dignity befit association with the University system and a 2059 person of learning and that the public may judge that person's profession and the University 2060 system by the individual's conduct and utterances.

c. If, in the president's judgment, a faculty member exercises freedom of expression
as a citizen and fails to heed the admonitions of Article X, Section 2b, the president may publicly
disassociate the Board of Trustees and the University <u>of Illinois System</u> from and express their
disapproval of such objectionable expressions.

A staff member who believes that he or she does not enjoy the academic freedom 2067 d. which it is the policy of the University of Illinois System to maintain and encourage shall be 2068 2069 entitled to a hearing on written request before the Committee on Academic Freedom and Tenure 2070 of the appropriate campus university senate. Such hearing shall be conducted in accordance with 2071 established rules of procedure. The committee shall make findings of facts and recommendations 2072 to the president and, at its discretion, may make an appropriate report to the senate. The several 2073 committees may from time to time establish their own rules of procedure. 2074

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# 2076 ARTICLE XI. STUDENT AFFAIRS AND DISCIPLINE

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2078 Section 1. Student Affairs

a. The senates shall be responsible for the development of appropriate
 recommendations regarding policies on student affairs at their respective campuses universities.
 Each senate shall ensure the opportunity for substantial student involvement in the development
 of these recommendations.

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2085 Upon recommendation of the chancellor/vice president and the president, the Board b. 2086 of Trustees may appoint annually a vice chancellor or other officer who shall have general 2087 supervision over those services provided on at that eampus university to assist students in their 2088 personal and social development. The responsibility and authority of this officer shall be determined by the chancellor/vice president. On the occasion of each appointment of any such 2089 2090 officer, the chancellor/vice president shall seek the advice of the executive committee of the 2091 campus university senate. The executive committee shall ensure the opportunity for substantial 2092 student involvement in the development of its advice.

2093

c. Under the general supervision of the officer provided for in Section 1b above, the
 Graduate College, the College of Law, the College of Veterinary Medicine, the College of
 Medicine, and other colleges comprised of comprising post-baccalaureate students shall be
 responsible respectively for the supervision of student affairs excluding discipline in those
 colleges.

2099

# 2100 Section 2. Student Discipline

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a. Each senate shall establish a committee or other body concerned with student
 discipline. This body may appoint one or more subcommittees on which unless the senate
 determines otherwise there shall be voting student representatives. These subcommittees shall
 have original jurisdiction to hear and render decisions in all disciplinary cases unless the body

2106 2107 2108 2109 2110 2111 2112 2113 2114 2115 2116 2117 2118 2119 2120 2121 2122	<ul> <li>determines to exercise original jurisdiction. The decision of a subcommittee not appealed to the body shall be final. The body shall hear and take action for the senate in cases in which it exercises original jurisdiction and in cases appealed to it from its subcommittees. The body shall formulate and adopt after consultation with the legal counsel disciplinary and hearing procedures which shall be followed in all undergraduate student disciplinary proceedings. In hearing and deciding any appeal, this body may conduct a hearing <i>de nov</i>o or may act solely upon the record in the case before the subcommittee as the body, in its discretion, may determine.</li> <li>b. Discipline for students enrolled in graduate and graduate-professional colleges shall appoint a subcommittee on discipline for the students enrolled in that college. These subcommittees are to act in accordance with the provisions of Article XI, Section 2a.</li> <li>c. In disciplinary proceedings stemming from group infractions involving more than one category of student (undergraduate, graduate, professional), the hearing and review bodies as well as the procedures employed shall be common to all categories of students involved.</li> </ul>
2123	ARTICLE XII. RESEARCH AND PUBLICATION
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2125	Section 1. Campus Research Board
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2127	a. The Each university shall maintain a Campus Research Board, whose functions
2128	shall include: (1) making recommendations concerning policies for distribution of research board
2129	funds; (2) making assignments of research board funds to individual and group research projects;
2130	(3) advising the chancellor/vice president and the vice chancellor responsible for research on any
2131	other matters submitted to the board. The members of the Campus Research Board shall be shall
2131	consist of eight to twelve members appointed by the chancellor/vice president after consultation
2132	with the vice chancellor responsible for research, the executive committee of that university's
2133	senate, and, at universities with graduate colleges, the dean of the graduate college and with the
2134	leadership of that campus's senate. The vice chancellor responsible for research shall chair the
2135	committee or designate a chair for the committee. The appointment process to and membership
2130	on the Campus Research Board may differ in campuses without a graduate college.
2137	on the Campus Research Board may affer in campuses without a graduate conege.
2138	<b>b.</b> The functions of the board include: (1) making recommendations concerning
2139	policies for distribution of research board funds; (2) making assignments of research board funds
2140	
2141	to individual and group research projects; (3) advising the chancellor/vice president and the vice chancellor responsible for research on any other matters submitted to the board.
2142	chancenor responsible for research on any other matters submitted to the board.
2144	Section 2. Sponsored Research, Gifts, and Grants
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2146	<b>a.</b> It is the policy of the University <u>of Illinois System</u> to encourage research on the
2147	part of all persons and groups within the several faculties. Such encouragement includes the
2148	endorsement and support of acceptable proposals for outside contracts or grants by sponsoring
2149	external agencies and groups.

2150 Such outside support must be integrated with the regular educational and research b. 2151 functions of the University of Illinois System. The acceptance of contracts or grants involves 2152 substantial indirect costs, physical plant operating costs, and the use of departmental, college, 2153 and general university system facilities. Funds to meet these indirect costs must be provided 2154 either by the sponsors  $\overline{\mathbf{or}}$ , by tax funds, or by special arrangement approved by the system chief 2155 financial officer or designee. In the latter case of tax funds, because such activities come into 2156 direct competition for funds with other interests within the University system, careful 2157 consideration shall be given the acceptance of such contracts.

2158

## 2159 Section 3. Patents on Inventions

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The results of research or development carried on <del>at</del> <u>within</u> the University <u>of Illinois</u> System by any of its faculty, employees, students, or other users of its facilities and having the expenses thereof paid from <del>university system</del> funds or from funds under the control of the University <u>system</u>, belong to the <del>University system</del> and are to be used and controlled in ways to produce the greatest benefit to the University <u>of Illinois System</u> and to the public.

2166

An inventor, whose discovery or invention is subject to the conditions of the previous paragraph, is required to disclose the discovery or invention to the <u>University system</u> and may be required to patent the discovery or invention <u>and shall execute any documents necessary to</u> <u>perfect the assignment of such and to assign the patent to the University system</u>, the expenses connected therewith to be borne by the <u>University system</u>.

This section shall not apply to questions of ownership of inventions made by members of the staff outside of their regular duties and without the use of University <u>of Illinois System</u> funds or funds under the control of the <u>University system</u> and without the use of <u>university system</u> facilities.

2177

# 2178 Section 4. Scientific and Scholarly Publications and Creative Work

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It is the policy of the University <u>of Illinois System</u> to foster the publication of scientific and scholarly periodicals which are edited, published, and subsidized by the <u>University system</u>. Authors and artists who are members of the academic ranks recognized in Article IX, Section 3, may copyright their works except works specifically commissioned by the <u>University system</u> in writing and works prepared under terms of a <u>university system</u> grant or contract which provides otherwise.

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## 2187 Section 5. Rules about Research, Patents, and Publications

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The General Rules Concerning University Organization and Procedure shall contain
 rules and regulations governing patents, copyrightable works, recordings, sponsored periodicals,
 and the acceptance of contracts, gifts, and grants for research, and the procedures to be followed.

Proposed changes in *The General Rules* related to patents, copyrightable works, or
 recordings shall be sent to the University Senates Conference which shall move as expeditiously

- as practicable and, if necessary, reconcile the views of the senates and advise the president and
- through the president the Board of Trustees before such a rule change is adopted.
- 2196

# 2197 ARTICLE XIII. GENERAL PROVISIONS

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#### 2199 Section 1. Exchange Professors

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2201 On the recommendation of the head or the chair of a department and with the approval of 2202 the dean, the chancellor/vice president, the president, and the Board of Trustees, a professor, 2203 associate professor, or assistant professor may be permitted for a period of not more than one 2204 year to exchange positions with a professor of approximately equal rank in another university 2205 provided the arrangement does not involve substantial increase in the cost of instruction. The 2206 professor with whom the exchange is made shall during the period of service to this within the 2207 University of Illinois System be subject to the rules governing appointments and conditions of 2208 service applicable to regular members of the faculty.

2209

## 2210 Section 2. Privileges for Scholars from Other Universities

2211

The chancellors/vice presidents of the University may extend the privilege of working without charge in the various laboratories or libraries of the respective campus <u>university</u> to members of the faculties of other colleges or universities, provided that they are recognized as authorities in their respective fields and come to the <u>campus <u>university</u> with written credentials from the faculties of their institutions or from their governments asking that they be received as guests.</u>

2218

## 2219 Section 3. Annual Reports

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2221 On or before the first day of September in each year, each dean and director and the ehief 2222 executive officer of each department or equivalent unit on <u>at</u> each <u>campus university</u> shall make 2223 to the chancellor/vice president an annual report, treating fully the work of the college, school, 2224 institute, division, or department. Any of these officers may make reports or advance suggestions 2225 at any time and shall report to the chancellor/vice president and to the president whenever 2226 requested to do so. Officers of the <u>university system</u>-level administration and chancellors/vice 2227 presidents shall make such reports as the president shall require.

2228

# 2229 Section 4. Reports and Communications

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- **a.** Members of the academic staff have the obligation to respond to requests for
- 2232 information from the Board of Trustees and from administrators to whom they have
- 2233 responsibilities. Ordinarily, intermediary administrators should be made aware of these requests.
- 2234 Unless the requestor has directed otherwise, a written response shall be transmitted through and
- by the intermediary administrators so that they may be properly informed and may comment. If

- the response contains recommendations, the staff member shall be informed of all comments with respect thereto and may append additional comments to the recommendations.
- b. Academic staff may initiate direct communication with any member of the
  administration. Ordinarily, intermediary administrators shall be kept informed about such
  communications so that they may be properly informed and may comment. Whenever
  appropriate, the academic staff member shall be informed of all comments and may respond to
  them.
- 2244

c. Proposals which originate from academic units, as enumerated in Article VIII, shall
 be promptly considered and transmitted to the final authority through and by appropriate
 intermediaries. Academic units affected by the proposal shall be kept informed of comments,
 revisions, and recommendations by intermediary authorities so that they may respond to them.

**d.** All communications from members of the staff to be presented as part of the agenda at a meeting of the Board of Trustees or transmitted to the Board of Trustees or any committee thereof shall first be presented to the chancellor/vice president where appropriate and to the president for their examination, comment, and recommendation. Whenever appropriate, the staff member shall be informed of all such reactions and may respond to them.

## 2255 Section 5. Rules of Procedure

Unless otherwise specified by a deliberative body of the University of Illinois System, the
latest revision of *Robert's Rules of Order* shall govern.

## 2260 Section 6. Recommendations of Committees and Councils

2261

Whenever these *Statutes* provide for the advice or recommendations of a committee or council as a basis for or aid to officer or agency decision, the advice or recommendation shall be secured only through a meeting of the committee or council duly convened in group session.

- 2266 Section 7. Reservation of Powers
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2268 The Board of Trustees is charged by law with full responsibility for administering the 2269 University of Illinois. Although the board may properly delegate authority to its duly designated 2270 officers and agencies, as indeed it has done since the establishment of the University in practical 2271 recognition of its own limitations to determine and resolve, in the first instance, complex and 2272 continuing problems of internal organization and educational policy, it cannot divest itself of the 2273 ultimate responsibility, imposed upon it by law, of governance of the University of Illinois. 2274 Accordingly, the board expressly reserves to itself the power to act on its own initiative in all 2275 matters affecting the University of Illinois, notwithstanding that such action may be in conflict or 2276 may not be in conformance with the provisions of these *Statutes*. However, the board will not so 2277 act upon its own initiative in any case in which senate participation and recommendation is 2278 provided for by these Statutes until it has first sought the advice and recommendation of the 2279 appropriate senate, or senates, the University Senates Conference and the president. 2280

#### 2281 Section 8. Amendments

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2283 Initiation by a Senate. Each of the senates by vote of a majority of all members a. present and voting at a regular or special meeting may propose amendments to these Statutes. No 2284 2285 final senate action shall be taken on a proposed amendment until the next meeting following the 2286 one at which it was introduced. The secretary of a senate shall notify the secretary of the other 2287 senates and the secretary of the University Senates Conference of the text of a proposed 2288 amendment promptly after the meeting at which it is introduced. The proposed amendment shall 2289 be referred to the University Senates Conference for its consideration and transmission to the 2290 other senates for action; the conference may append its comments and recommendations.

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2292 The proposed amendment shall be placed promptly on the agenda of the other senates. If 2293 every senate acts affirmatively on the proposed amendment and concurs as to its text, the 2294 conference shall send the proposed amendment to the president for transmission to the Board of 2295 Trustees and shall simultaneously notify the senates of its action; the conference may append its 2296 comments. If the senates do not agree as to the proposed amendment, the conference shall 2297 endeavor to promote agreement of the senates. Where agreement cannot be effected among all 2298 the senates within a reasonable period of time, but the text of a proposed amendment has been 2299 agreed upon by all but one of the senates, the conference shall send that proposed amendment, 2300 the recommendations of the dissenting senate, and its own recommendations to the president for 2301 transmission to the Board of Trustees and shall simultaneously notify the senates of its action. A 2302 senate may record and send its further comments to the president for transmission to the Board of 2303 Trustees.

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2305 b. *Initiation by the Board of Trustees.* The Board of Trustees may initiate proposals to 2306 amend the *Statutes*, but the board shall not finally adopt any such proposal without first seeking 2307 the advice of the president, the senates, and the University Senates Conference. Any proposal to 2308 amend the *Statutes* which is initiated by the Board of Trustees shall be transmitted through the 2309 president to the University Senates Conference and transmitted by the conference, with its 2310 recommendations, to the senates for consideration and advice. The proposed amendment shall be 2311 placed promptly on the agenda of each of the senates. If the senates do not agree in their advice 2312 concerning the proposed amendment, the conference shall endeavor to promote agreement; 2313 where agreement cannot be achieved within a reasonable period of time, the conference shall 2314 send the advice of the senates and its own recommendations to the president for transmission to 2315 the Board of Trustees and shall simultaneously notify the senates of its action. A senate may 2316 record and send its further comments to the president for transmission to the Board of Trustees. 2317

c. An amendment shall become effective when approved by the Board of Trustees orat such later time as the board may specify.