

Deleted: <sp>

PROPOSED AMENDMENTS TO THE GENERAL RULES
CONCERNING UNIVERSITY ORGANIZATION AND PROCEDURE
[ARTICLE II, SECTIONS 4, 5 AND 6]

ARTICLE II. BUSINESS ORGANIZATION AND POLICIES

Section 4. Award and Execution of University Contracts

(a) ~~All~~ contracts shall be awarded by the Board of Trustees in accordance with applicable state and federal law and ~~University policies and rules.~~ Contracts involving major changes in or deviations from University ~~policies and rules~~ shall be approved specifically by the Board of Trustees.

(b) All contracts, other than purchase orders, shall be executed at least in duplicate, and the original thereof shall be filed with the secretary of the Board of Trustees and remain in the custody of the secretary. A report shall periodically be made to the Board of Trustees by the comptroller of all contracts executed on behalf of the University, as the board may require.

(c) Contracts relating to appointments to the staff may be executed by the secretary of the Board of Trustees. Agreements providing for the appointments of resident physicians and dentists may be executed by the chief of staff of the University of Illinois Hospital. Purchase orders issued pursuant to awards made by the Board of Trustees may be ~~executed~~ by the University official in charge of the purchasing activity as designated by the ~~comptroller.~~ ~~Assurances and certifications related to research may be executed by the campus vice chancellor for research or, where there is no vice chancellor for research, the chancellor or their delegates.~~ Unless otherwise ordered by the Board of Trustees in specific cases, other contracts to which the University is a party shall be ~~executed~~ by the comptroller of the ~~University.~~

(d) ~~The awarding of procurement~~ contracts involving expenditures of University funds are governed by ~~state procurement law.~~

~~(e) The Board of Trustees shall specifically authorize procurement contracts, (excluding change orders,) and leases, involving payments by the University in one fiscal year in excess of~~

Deleted: Purchases, construction contracts, and other

Deleted: with regulations

Deleted: adopted by the Board of Trustees.

Deleted: policy

Deleted: signed

Deleted: vice president/chief financial officer.

Deleted: signed

Deleted: , including intergovernmental contracts and agreements,

Inserted: , including intergovernmental contracts and agreements,

Deleted: approved and signed

Inserted: approved and

Deleted: Board of Trustees and attested to by the secretary of the Board of Trustees.

Deleted: or his/her delegate

Inserted: or his/her delegate

Deleted: Procurement

Deleted: Procurement Rules of the Chief Procurement Officer for Public Institutions of Higher Education

Deleted:

Deleted: as adopted and amended from time to time by the Board of Trustees. Other university contracts may be awarded to any business entity, including those in which a University officer or employee (or members of their immediate families) serve as major officers or primary employees thereof or hold a significant equity interest therein, if such contract is deemed in the best interests of the University and has the approval of the president or the president's designee. Documentation of such approval shall be filed with the contract.

Deleted: ¶

Deleted: →(e) When purchases or

Deleted: f

Deleted:

Deleted: P

Inserted: P

Deleted: are to be awarded by the University on the basis of sealed b[]

Deleted: ,

Deleted: ,

Inserted: ,

such dollar amounts as the Board of Trustees may specify from time to time (“the Board limit”) unless in the president’s opinion, necessity requires immediate action. The president, after consulting with each member of the executive committee of the Board who can reasonably be contacted, may approve emergency transactions in excess of the Board limit on behalf of the Board of Trustees and report the same promptly to the Board. If the amount of the emergency transaction exceeds such dollar amounts as the Board of Trustees may specify from time to time, the president must consult with all Board members who can reasonably be contacted before approving the emergency action.

(f) The comptroller is authorized to approve on behalf of the Board of Trustees procurements, intergovernmental contracts, leases, and other contracts and contract change orders not expressly requiring prior specific Board authorization and shall report such approvals to the Board as the Board may direct. The requirement for specific Board approval above the Board limit does not apply to, or supersede previous actions of the Board authorizing, the execution of those types and classes of contracts that the Board of Trustees has authorized to be executed without its prior specific approval, such as farm leases; purchases of food products, grain, livestock, fertilizer, and natural gas; procurements of generic commodities on joint bids with other State institutions; group procurement contracts deemed to offer economic benefit to the University that comply with state law; purchases for resale to students and others; procurements of other commodities that the Board may exempt; contracts for cultural and entertainment presentations; subcontracts and subgrants for research, gifts or grants awarded by the Board; contracts involving University Press publications; and any other transactions that the Board may specify.

(g) The seal of the University shall be in the custody of the secretary of the Board of Trustees.

(h) The comptroller and secretary are authorized to delegate to responsible members of the staff of the University authority to execute contracts in the name of the comptroller and the secretary of the Board, as the case may require.

(i) All bids received for a specific item or project may be rejected, without referral to the Board of Trustees, in accordance with state law.

- Deleted: shall be specifically [2]
- Deleted: , in which case t
- Deleted: shall act to
- Deleted: the
- Deleted: board ...involved in suc [3]
- Deleted:
- Deleted: is in excess of \$500,00 [4]
- Deleted: the Board limit
- Inserted: the Board limit
- Deleted: an amount specified fr [5]
- Inserted: an amount specified fr [6]
- Deleted: ,
- Inserted: ,
- Deleted: may
- Inserted: may
- Deleted: will
- Deleted: not approve the transa [7]
- Deleted: board
- Deleted: Board who can reason [8]
- Inserted: Board
- Deleted: board
- Deleted: Board members who ca [9]
- Inserted: Board
- Deleted: of over \$1,000,000
- Deleted: that exceeds the Board limit.
- Inserted: that exceeds
- Inserted: the Board limit.
- Deleted: an amount specified fr [10]
- Inserted: an amount specified [11]
- Deleted: g
- Deleted: vice president/chief f [12]
- Deleted: (including intergove [13]
- Inserted: (including [14]
- Deleted: board ... board ... board [15]
- Deleted: purchases, leases, and
- Deleted: which
- Deleted:purchased [16]
- Deleted: State Statutes ...and f [17]
- Inserted: and related rules;
- Deleted: , and
- Deleted: which ...board [18]
- Deleted: under contracts [19]
- Deleted: board [20]
- Inserted: ,contracts involving
- Deleted: ,
- Deleted: which ... board [21]
- Deleted: h
- Deleted: i
- Deleted: and attest to
- Deleted: board
- Deleted: j
- Deleted: , when they are consi [22]
- [23]

Deleted: <sp>

Section 5. Drafting and Approval of University Contracts

(a) Contracts shall be drafted in tentative form by the University administrator best acquainted with the subject matter, and in whose department rests the responsibility for performance and shall be approved by the comptroller or his/her delegate unless otherwise provided by the *Statutes* of the University or by other action of the Board of Trustees.

Deleted: ¶
→→(1) By a director of purchases, when received as a result of bids solicited by such director for purchases of goods, services, equipment or commodities, such rejections to be reported to the vice president/ chief financial officer. ¶
→→(2) By the president, on buildings and construction, upon the recommendation of the vice president/ chief financial officer. [24]

Deleted: university officer

Deleted: thereof . . . lies . . . vice [25]

Inserted: and comptroller

Deleted: All contracts prior . . . [26]

(b) Contracts are subject to review and approval as to legal form and validity by the University, counsel in accordance with University polices and rules. The policies and rules, shall reflect best business practices and shall be subject to modification from time to time as warranted.

Deleted: university

Inserted: university

Deleted: . Such approval will [27]

Deleted: a published policy app [28]

Deleted: and

Deleted: contains no substantif [29]

Deleted: the vice president/ chief [30]

Deleted: and

Deleted: the term of the contra [31]

Inserted: a published policy approved

Deleted: University

Deleted: president in consulta [32]

Deleted:

Inserted: president in consulta [33]

Deleted: as a standard

Inserted: the vice president/ ch [34]

Deleted: comptroller

Inserted: comptroller. The polic

Deleted: y

Inserted: y shall reflect best b [35]

Formatted: Underline

Deleted: through . . . outside ent [36]

Deleted: the

Deleted: prior knowledge and approval

Deleted: college/department

Deleted: head or other approp [37]

Deleted: enter into

Deleted: Such research progra [38]

Deleted: ¶

Formatted: Underline

Deleted: ¶ [39]

Section 6. Research Gifts, Grants, and Contracts

(a) Sponsored Research Generally. Research conducted under the auspices of the University may be supported in whole or in part with funds provided by third parties. Third-party offers to fund research activities by gift, grant of financial assistance, or contract must be reviewed and approved by the appropriate University administrative offices before formal acceptance by the University president (or his/her delegate) in the case of gifts, and by the University comptroller (or his/her delegate) in the case of grants, and contracts. Faculty and staff may conduct preliminary negotiations with prospective research sponsors in accordance with policies of the respective campuses but have no authority to bind the University to a contract. Staff within the relevant University department, will direct and conduct the sponsored research. The administrative coordination of research programs shall be under the Agricultural Experiment Station for units in the College of Agricultural, Consumer and Environmental Sciences, and under the Office of Vice Chancellor for Research (OVCR) or the Chancellor's Office where there is no OVCR.

(b) Research Results. Contracts for research shall specify that the results of scientific research conducted by the University, including inventions and discoveries, are the property of the University, to be used for the benefit of the University and the public, but the sponsor may receive preferential consideration in the disposition of the invention or discovery as provided in Article III, Section 7(p). Unless otherwise permitted by the Board of Trustees, the University shall not permanently grant or convey to a sponsor the exclusive benefits of the results of any investigation or research. The University will retain original records of investigations and

Deleted: ¶

~~research and may furnish~~ reports or copies of such records to the sponsor. The University shall have the exclusive right to publish, at its discretion, the results of scientific investigation and research unless provided otherwise in contracts. The sponsor ~~may~~ not use ~~any University trademark, service mark, trade name or other such property~~ without the prior approval of the University president ~~or his/her delegate~~.

~~(c) Policy Waivers. If the sponsor conditions its award of funding on~~ the waiver of established ~~University policies, such as~~ reimbursement of indirect costs, ~~rights of publication, or rights to intellectual property generated by the research, the University may accept~~ such conditions ~~if required by law or if a waiver is found~~ to be clearly in the interests of the University and the public. ~~Waivers related to intellectual property rights must be approved by the vice president for technology and economic development (or successor title). The determination of all other waivers must be made by either the vice chancellor for research (VCR) or the chancellor if no campus VCR exists, or his/her delegate.~~

~~(d) Research Funding Proposals. All sponsored research proposals must~~ be prepared by the staff ~~who will supervise the research, and must contain at a minimum a scope of work and budget providing for all direct and indirect cost recovery in accordance with University policy. All research proposals must be reviewed, approved and executed by the appropriate University administrative staff and officials before formal submission as a University offer. Final approval of all proposals is the responsibility of the vice chancellor for research (VCR), or the chancellor where there is no campus VCR, or his/her delegate.~~

~~(e) Expenditure of Funds. The expenditure of funds received as indirect cost recovery as cost-of-education allowances, as general-support grants or as any other unrestricted gift or grant must be authorized by the Board of Trustees either as part of the University's annual budgets for operations or for capital improvements, or during the fiscal year as an addition to the annual budget for operations or for capital improvements.~~

- Deleted: may be furnished ... [40]
- Deleted: ¶ [41]
- Deleted: →(d) [42]
- Formatted ... [41]
- Deleted: conditions imposed by [42]
- Deleted: or [43]
- Deleted: may be accepted by t [43]
- Deleted: V [44]
- Inserted: Vice [44]
- Deleted: P [45]
- Inserted: President for [45]
- Deleted: T [46]
- Inserted: Technology and [46]
- Deleted: E [47]
- Inserted: Economic [47]
- Deleted: D [48]
- Inserted: Development (or su [44]
- Deleted: will [49]
- Inserted: will be made by eith [45]
- Deleted: their [50]
- Inserted: their delegates. [50]
- Deleted: s [51]
- Deleted: ¶ [52]
- Deleted: e)The University acc [46]
- Formatted ... [47]
- Deleted: Gifts. Gifts for resear [48]
- Deleted: should [49]
- Deleted: Funding Proposals. [50]
- Inserted: Funding Proposals. [50]
- Deleted: member ... approved [49]
- Deleted: The vice chancellor f [50]
- Deleted: if such a position [51]
- Deleted: (VCR), or the chance [51]
- Inserted: (VCR), or the chance [52]
- Deleted: [53]
- Deleted: on the campus. It sha [53]
- Deleted: , in consultation with [54]
- Inserted: , in consultation wit [55]
- Deleted: or department carryin [56]
- Deleted: In [57]
- Deleted: head, may waive appl [57]
- Inserted: head, may waive ap [58]
- Deleted: the amount to be bud [59]
- Deleted: that the potential benefits [60]
- Inserted: that the potential [60]
- Deleted: which will be receive [60]
- Deleted: to the University [61]
- Inserted: to [61]
- Deleted: , and [62]
- Deleted: or the public [62]
- Inserted: or [63]
- Deleted: . [63]
- Formatted ... [64]
- Formatted ... [65]
- Formatted ... [66]

Page 1: [1] Deleted	Legal/OBFS	10/22/2010 11:41 AM
---------------------	------------	---------------------

are to be awarded by the University on the basis of sealed bids, such bids shall be opened in the presence of at least one member or officer of the Board of Trustees or a designated representative.

(f) Purchases , contracts,

Page 2: [2] Deleted	Lisa M Power	11/9/2010 11:35 AM
---------------------	--------------	--------------------

shall be specifically authorized by the Board of Trustees

Page 2: [2] Deleted	Lisa M Power	11/9/2010 11:36 AM
---------------------	--------------	--------------------

of the president of the University

Page 2: [3] Deleted	Lisa M Power	11/9/2010 2:27 PM
---------------------	--------------	-------------------

board

Page 2: [3] Deleted	Lisa M Power	11/9/2010 11:36 AM
---------------------	--------------	--------------------

involved in such an

Page 2: [4] Deleted	Legal/OBFS	10/22/2010 11:41 AM
---------------------	------------	---------------------

is in excess of \$500,000 but not more than \$1,000,000

Page 2: [5] Deleted	Lisa M Power	11/9/2010 11:38 AM
---------------------	--------------	--------------------

an amount specified from time to time by the board

Page 2: [6] Inserted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

an amount specified from time to time by the board

Page 2: [7] Deleted	John W Tolar	11/11/2010 3:12 PM
---------------------	--------------	--------------------

not approve the transaction without first consulting individually those members of the executive committee of the

Page 2: [8] Deleted	John W Tolar	11/11/2010 3:12 PM
---------------------	--------------	--------------------

Board who can reasonably be contacted before the emergency action must be taken.

Similar consultation must occur with all

Page 2: [9] Deleted	John W Tolar	11/11/2010 3:12 PM
---------------------	--------------	--------------------

Board members who can reasonably be contacted before presidential action on an emergency transaction

Page 2: [10] Deleted	Lisa M Power	11/9/2010 11:38 AM
----------------------	--------------	--------------------

an amount specified from time to time by the board .

Page 2: [11] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

an amount specified from time to time by the board

Page 2: [12] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

vice president/chief financial officer

Page 2: [12] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

purchases ,

Page 2: [13] Deleted	Lisa M Power	11/15/2010 10:46 AM
----------------------	--------------	---------------------

(including intergovernmental contracts), leases,

Page 2: [14] Inserted	John W Tolar	11/12/2010 2:00 PM
-----------------------	--------------	--------------------

(including intergovernmental contracts)

Page 2: [15] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [15] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [15] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [15] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [15] Deleted	Lisa M Power	11/9/2010 11:39 AM
----------------------	--------------	--------------------

dollar amounts the Board of Trustees may specify

Page 2: [15] Deleted	Lisa M Power	11/16/2010 12:15 PM
----------------------	--------------	---------------------

the execution of

Page 2: [15] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [16] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

,

Page 2: [16] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

,

Page 2: [16] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

purchased

Page 2: [16] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

,

Page 2: [17] Deleted	Lisa M Power	11/9/2010 11:09 AM
----------------------	--------------	--------------------

State Statutes

Page 2: [17] Deleted	Lisa M Power	11/9/2010 11:09 AM
----------------------	--------------	--------------------

and related rules

Page 2: [18] Deleted	Lisa M Power	11/9/2010 12:14 PM
----------------------	--------------	--------------------

which

Page 2: [18] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [18] Deleted	Lisa M Power	11/9/2010 12:14 PM
----------------------	--------------	--------------------

,

Page 2: [18] Deleted	Lisa M Power	11/9/2010 12:14 PM
----------------------	--------------	--------------------

,

Page 2: [19] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

under contracts

Page 2: [19] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

,

Page 2: [20] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [20] Deleted	Lisa M Power	11/9/2010 12:14 PM
----------------------	--------------	--------------------

,

Page 2: [21] Deleted	Lisa M Power	11/9/2010 12:15 PM
----------------------	--------------	--------------------

which

Page 2: [21] Deleted	Lisa M Power	11/9/2010 2:28 PM
----------------------	--------------	-------------------

board

Page 2: [22] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

, when they are considered to be excessive or unsatisfactory as follows:

Page 2: [23] Deleted	Lisa M Power	11/9/2010 11:09 AM
----------------------	--------------	--------------------

State

Page 2: [23] Deleted	Lisa M Power	11/9/2010 11:09 AM
----------------------	--------------	--------------------

Statutes

Page 3: [24] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

(1) By a director of purchases, when received as a result of bids solicited by such director for purchases of goods, services, equipment or commodities, such rejections to be reported to the vice president/chief financial officer.

(2) By the president, on buildings and construction, upon the recommendation of the vice president/chief financial officer, on items over \$25,000, such rejections to be reported to the Board of Trustees as the board may require.

(3) By the vice president/chief financial officer, on buildings and construction on items under \$25,000, such rejections to be reported to the Board of Trustees as the board may require.

(k) The comptroller is authorized to execute subcontracts for research if the costs are to be paid entirely from contract funds and the subcontracts have been approved by the University's prime contractor. In each case, the subcontract shall be reported to the Board of Trustees as the board may require.

Page 3: [25] Deleted	Lisa M Power	11/9/2010 11:44 AM
----------------------	--------------	--------------------

thereof

Page 3: [25] Deleted	Lisa M Power	11/9/2010 11:44 AM
----------------------	--------------	--------------------

lies

Page 3: [25] Deleted	Lisa M Power	11/9/2010 11:43 AM
----------------------	--------------	--------------------

vice president/chief financial officer and

Page 3: [26] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

All contracts prior

Page 3: [26] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

the execution thereof shall be approved

Page 3: [27] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

. Such approval will be endorsed

Page 3: [27] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

writing on the contract, provided that such approval and endorsement shall not be required

Page 3: [27] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

respect to individual contracts or extensions or renewals thereof if the form has prior approval

Page 3: [28] Deleted	Lisa M Power	11/16/2010 11:58 AM
----------------------	--------------	---------------------

a published policy approved by the

Page 3: [29] Deleted	Lisa M Power	11/16/2010 11:58 AM
----------------------	--------------	---------------------

contains no substantive changes or additions other than those pertaining solely to the description of the project, the amount involved,

Page 3: [30] Deleted	Lisa M Power	11/16/2010 11:58 AM
----------------------	--------------	---------------------

the vice president/chief financial officer

Page 3: [31] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

the term of the contract or extension

Page 3: [32] Deleted	Lisa M Power	11/16/2010 11:58 AM
----------------------	--------------	---------------------

president in consultation with the university counsel

Page 3: [33] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

president in consultation with the university

Page 3: [34] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

the vice president/chief financial officer

Page 3: [35] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

y shall reflect best business practices and shall be subject to modification from time to time as warranted

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

through

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

outside entities in the form of

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

research

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

, or research

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Such arrangements must be approved before acceptance by the president or the president's designee. Staff members

Page 3: [36] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

supporters of

Page 3: [37] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

head or other appropriate administrative officer and the dean or director if required by college policy

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Such research programs shall be controlled and directed by the University and shall be conducted

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

appropriate

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

(s) by members of the University staff.

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

such

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

;

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Engineering Experiment Station

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

units in the College of Engineering at the Urbana-Champaign campus, and the Campus Research Board for all other units of the Urbana-Champaign campus, and all units of other campuses. On a campus without a Campus

Page 3: [38] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Board, the Provost shall be responsible for such administrative coordination.

Page 3: [39] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

(c) Except as may be

Page 3: [39] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

specified

Page 3: [39] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

enter into an agreement with a sponsor which will give a sponsor

Page 3: [39] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

such

Page 3: [39] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

any investigation shall be held by the University, but

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

may be furnished

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

with agencies of the United States Government. No account of a cooperative research project or reprints of scientific articles resulting from the investigation shall be published by the sponsor or by any other agency except with the consent of the University.

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

shall

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

the

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

of the University in any advertisement, whether with reference to a cooperative investigation or otherwise,

Page 4: [40] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

of the University or the president's designee

Page 4: [41] Formatted	Lisa M Power	11/9/2010 11:51 AM
------------------------	--------------	--------------------

Underline

Page 4: [42] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

conditions imposed by

Page 4: [42] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

require

Page 4: [42] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

university

Page 4: [42] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

with respect to

Page 4: [43] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

may be accepted by the University

Page 4: [43] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Federal

Page 4: [43] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

regulation. Similar conditions may be accepted by the University for any other sponsor when the committee specified in paragraph (f) below determines such acceptance

Page 4: [44] Inserted	Lisa M Power	11/9/2010 11:22 AM
-----------------------	--------------	--------------------

Development (or successor title).

Page 4: [45] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

will be made by either the vice chancellor for research (VCR) or the chancellor if no campus VCR exists, or

Page 4: [46] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

e) The University accepts funds for research from sponsors outside the University by an outright gift, by a grant, or by a written contract.

(1

Page 4: [47] Formatted	Lisa M Power	11/9/2010 11:52 AM
------------------------	--------------	--------------------

Underline

Page 4: [48] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

Gifts. Gifts for research which are limited in amount may be arranged by informal negotiations and correspondence between the sponsor and the staff member who will carry on the research, subject to acceptance as hereinafter provided. The chancellor at each campus is delegated by the president to approve and accept such gifts for the University. Financial arrangements for gifts shall be reviewed and approved by the Office of Business and Financial Services. Consideration of the direct and indirect costs to the University accruing on account of the acceptance of gifts shall be made at the time of budgeting the funds to be received.

(2) **Research Grants.** Grants for research are ordinarily made to the University by foundations, associations, or other agencies which are governmental or nonprofit in character. A research grant is differentiated from a gift in that a grant usually carries certain conditions imposed by the sponsor. Applications for grants

Page 4: [49] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

member

Page 4: [49] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

, approved by the head or chair of the department and the dean or director if required by college policy, and presented to the Office of Business and Financial Services for review and approval of financial details. Applications should be accompanied by a

Page 4: [49] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

which shall give consideration to

Page 4: [49] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

costs involved. After approval by the Office of Business and Financial Services, applications for grants shall be considered for approval by the chair of the Campus Research Board of the Urbana-Champaign and Chicago campuses . Grants carrying conditions the legality of which may be questionable shall also be referred to the university counsel.

(3) **Research Contracts.** Contracts for research shall be used when required by the sponsor or when it is desirable to set forth the specific conditions under which funds are to be received and administered. The University standard cooperative agreement contract form shall normally be used for projects with private sponsors. Contracts prepared by the sponsors may be used for projects with agencies of the United

States Government, state governments, and in some cases private sponsors. After informal negotiations with the sponsor, the staff member who is to supervise the project shall prepare a proposal specifying in detail the research work to be carried on and the financial and other conditions. A budget shall be prepared which will provide for all direct costs of the project and the indirect costs to be reimbursed to the University. Such a proposal and accompanying budget shall be approved by the head or chair of the department and the dean or director if required by college policy and submitted to the Office of Business and Financial Services. After review and approval by the Office of Business and Financial Services, it shall be submitted for approval to the

Page 4: [50] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

The vice chancellor for research

Page 4: [51] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

(VCR), or the chancellor if no campus VCR exists

Page 4: [52] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

(VCR), or the chancellor if no campus VCR

Page 4: [53] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

on the campus. It shall then be returned to the Office of Business and Financial Services for submission to the sponsoring agency, such submission to constitute the formal offer of the University to carry on the research subject to the university's requirements for execution of a formal contract.

If the contract is with a private sponsor and the amount involved is relatively small, the university standard contract form may be completed by the staff member and submitted with accompanying budget for University approval in accordance with procedures stated above. A proposal shall not be required under such conditions. Contracts prepared by sponsors shall be referred to the Office of Business and Financial Services for examination as to financial terms and conformance with university policy. After a proposed contract has been negotiated and reviewed by the Office of Business and Financial Services, it shall be approved by the department which is to conduct the investigation, the assistant vice president for business and finance, the university counsel, and signed by the comptroller and attested to by the secretary of the Board of Trustees who may authorize responsible members of their staffs to execute contracts on their behalf.

(f) Gifts, grants, and contracts for research may provide for all or a part of the costs of a research project. When the funds from the sponsor are to cover all or substantially all of the costs, the following items should be considered in the preparation of the budget: salaries and wages, employer's contribution to the State Universities Retirement System, allowance for Worker's Compensation and Occupational Disease liability, expendable supplies, equipment, travel expenses, other direct costs, and an allowance for indirect costs to the University. The amount budgeted for indirect costs in any proposed project shall be reviewed and approved by a committee composed of the vice chancellor for research if such a position exists on the campus, if not, the provost; a representative of the appropriate research

Page 4: [54] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

, in consultation with the relevant college/department unit

Page 4: [55] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

, in consultation with the relevant college/department

Page 4: [56] Deleted	Legal/OBFS	10/22/2010 11:41 AM
----------------------	------------	---------------------

or department carrying on the project; and a representative of the Office of Business and Financial Services.

Page 4: [57] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

head, may waive application of the indirect cost rate, in full or in part, on a particular sponsored project after determining

Page 4: [58] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

head, may waive application of the indirect cost rate, in full or in part, on a particular sponsored project after

Page 4: [59] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

the amount to be budgeted for indirect costs, the committee shall give consideration to the respective

Page 4: [60] Deleted	Lisa M Power	11/9/2010 11:20 AM
----------------------	--------------	--------------------

which will be received from the investigation by the sponsor,

Page 4: [61] Inserted	Legal/OBFS	10/22/2010 11:41 AM
-----------------------	------------	---------------------

justify the waiver. All research proposals must be reviewed, approved and signed

Page 4: [62] Deleted	Lisa M Power	11/16/2010 12:04 PM
----------------------	--------------	---------------------

signed

Page 4: [62] Deleted	Lisa M Power	11/9/2010 12:20 PM
their		
Page 4: [63] Inserted	Legal/OBFS	10/22/2010 11:41 AM
their delegates.		
Page 4: [64] Formatted	Lisa M Power	11/9/2010 11:52 AM
Underline		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
reimbursement for indirect costs of research or other University activities,		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
("institutional grants," "general research- support grants," etc.),		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
category of		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
not restricted as to use		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
donor or by other regulations of the		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
requires authorization by the Board of Trustees. This may be done		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
by: (1) approval		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
university's		
Page 4: [65] Deleted	Legal/OBFS	10/22/2010 11:41 AM
(2) approval		
Page 4: [66] Deleted	Legal/OBFS	10/22/2010 11:41 AM

(g) The University is often requested to accept grants and contracts providing for extension work or teaching services. Policies and procedures set forth in this section and in Section 7 shall apply to such grants and contracts, except that funds appropriated by the United States Government to the Agricultural Experiment Station or the Illinois Cooperative Extension Service shall be administered by the College of Agricultural, Consumer and Environmental Sciences.